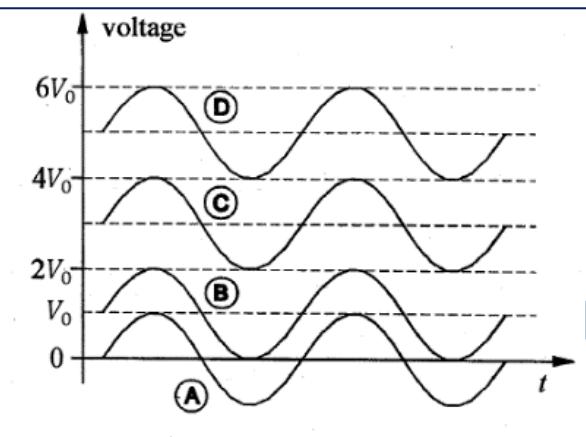
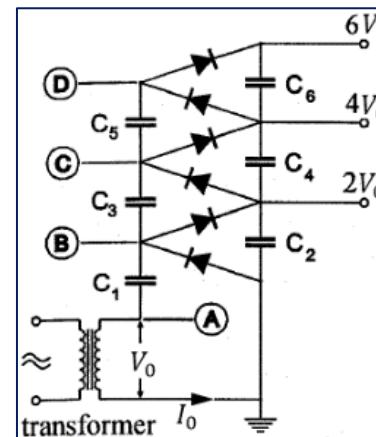
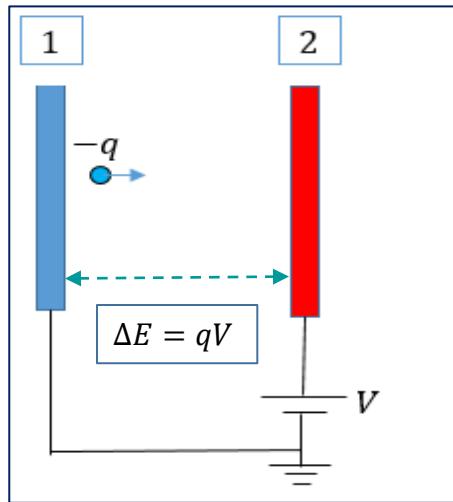


# *Contents*

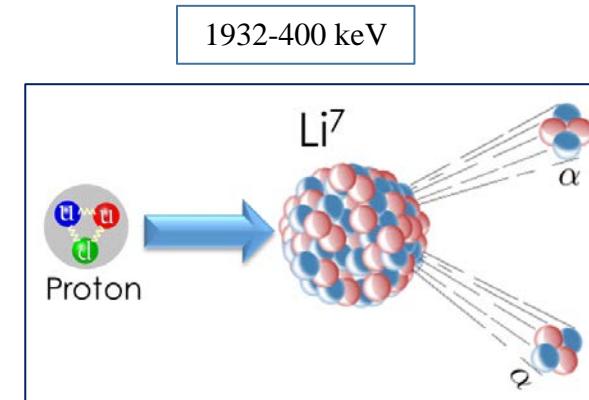
- 1. Limits of Current Acc. Technology**
- 2. AWAKE and Phases**
- 3. AWAKE Phase C, Injector Design**
- 4. Results and Simulations**

# 1. Introduction

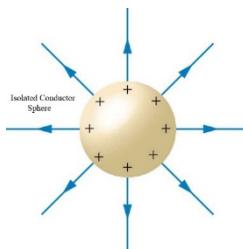
## 1.1 Electrostatic Accelerators



Cockcroft-Walton  
Accelerator-1928



## 1.1 Electrostatic Accelerators

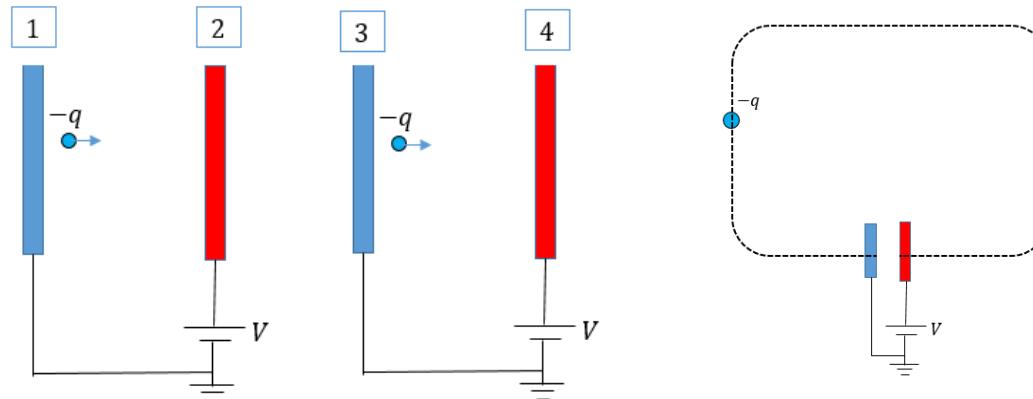


$$\left\{ \begin{array}{l} V = \frac{q}{4\pi\epsilon_0 r} \\ E = \frac{q}{4\pi\epsilon_0 r^2} \end{array} \right.$$

$$E = \frac{V}{r}$$

$$E_{max} \approx 2.5 \text{ MV/m}$$

To provide higher voltage the structure becomes very bulky



$$\vec{\nabla} \times \vec{E} = 0$$

$$\vec{E} = -\vec{\nabla}\Phi$$

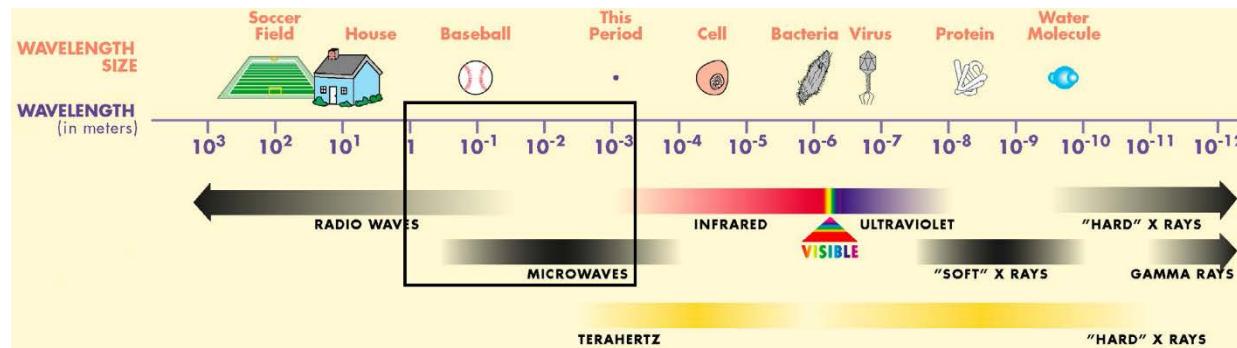
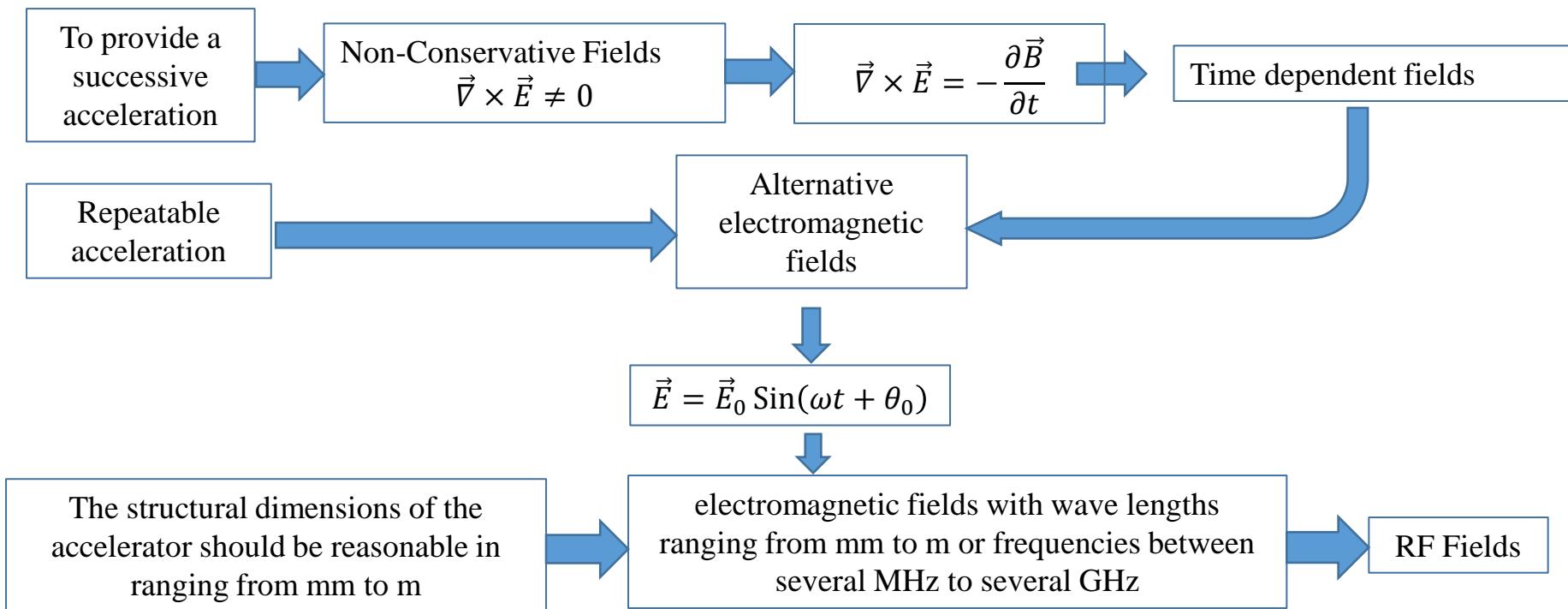
$$\Delta E_k = - \int_a^b q \vec{E} \cdot d\vec{l} = q \times (\Phi_b - \Phi_a)$$

Maximum energy gain  $20 \text{ MeV}$

Conservative nature of the electrostatic fields makes it impossible to have a successive acceleration

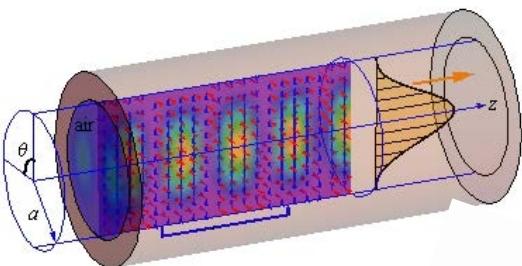
# 1. Introduction

## 1.2 RF Accelerators



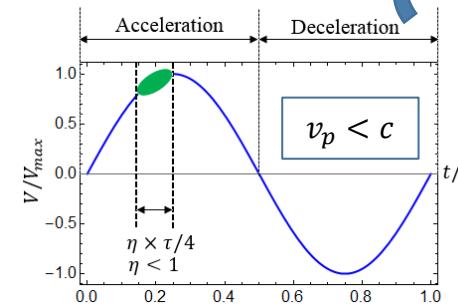
## 1.2 RF Accelerators

RF waves in a metallic cylinder



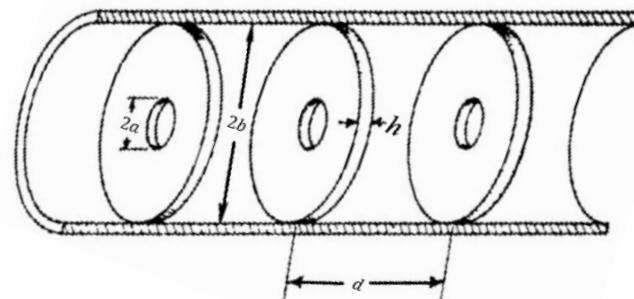
$$\vec{E}(\vec{r}, t) = \vec{E}_0(r, \theta) e^{i(kz - \omega t)}$$

$$v_{ph} \times v_g = c^2$$



Phase Slippage  
and no net acceleration

For acceleration  
we have to  
decrease  $v_{ph}$



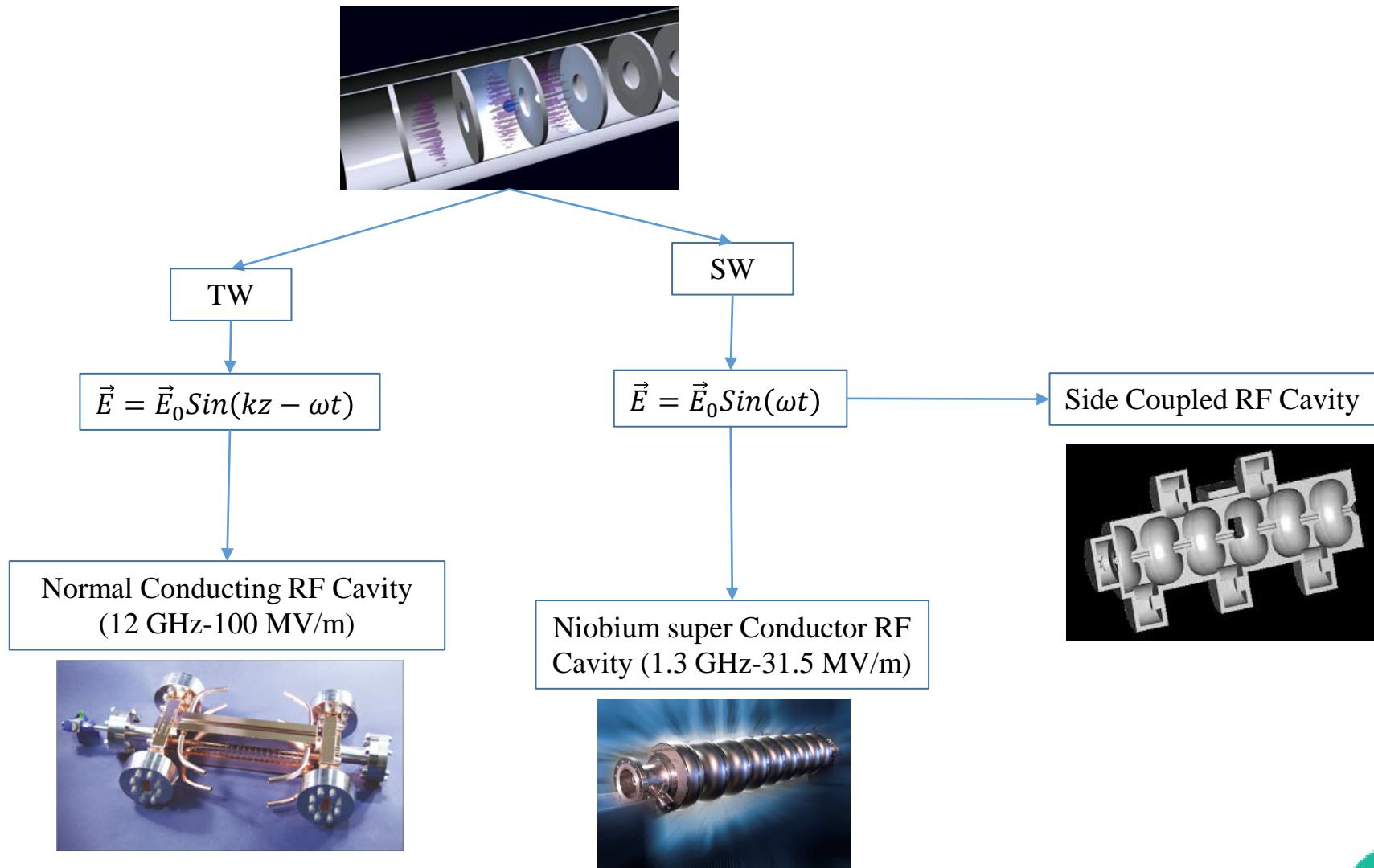
Disk-Loaded  
Structure

In the same years, Hansen at MIT built the first electron Linac (1947, 4.5 MeV, 3 GHz disk-loaded structure).



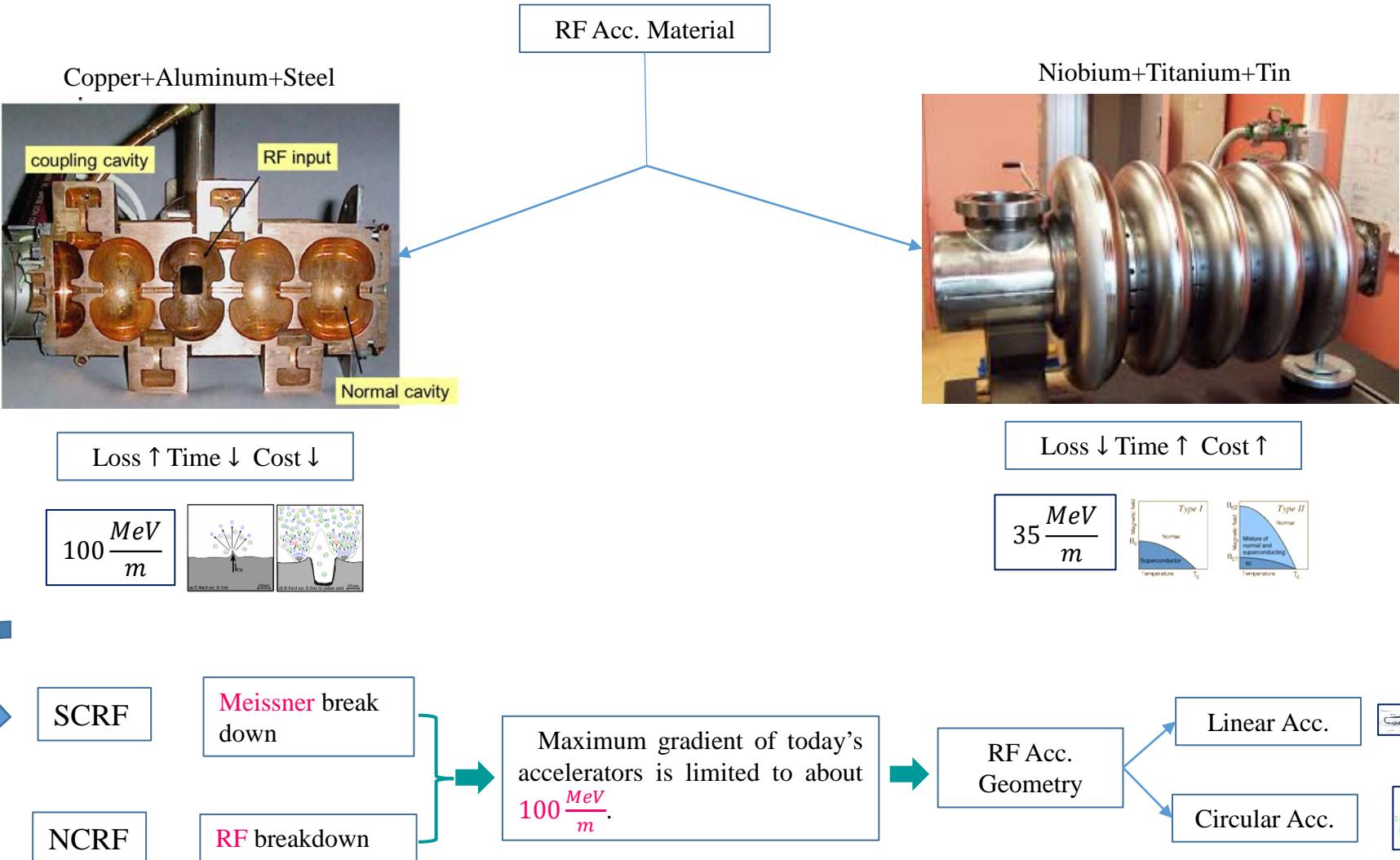
# 1. Introduction

## 1.2 RF Accelerators



# 1. Introduction

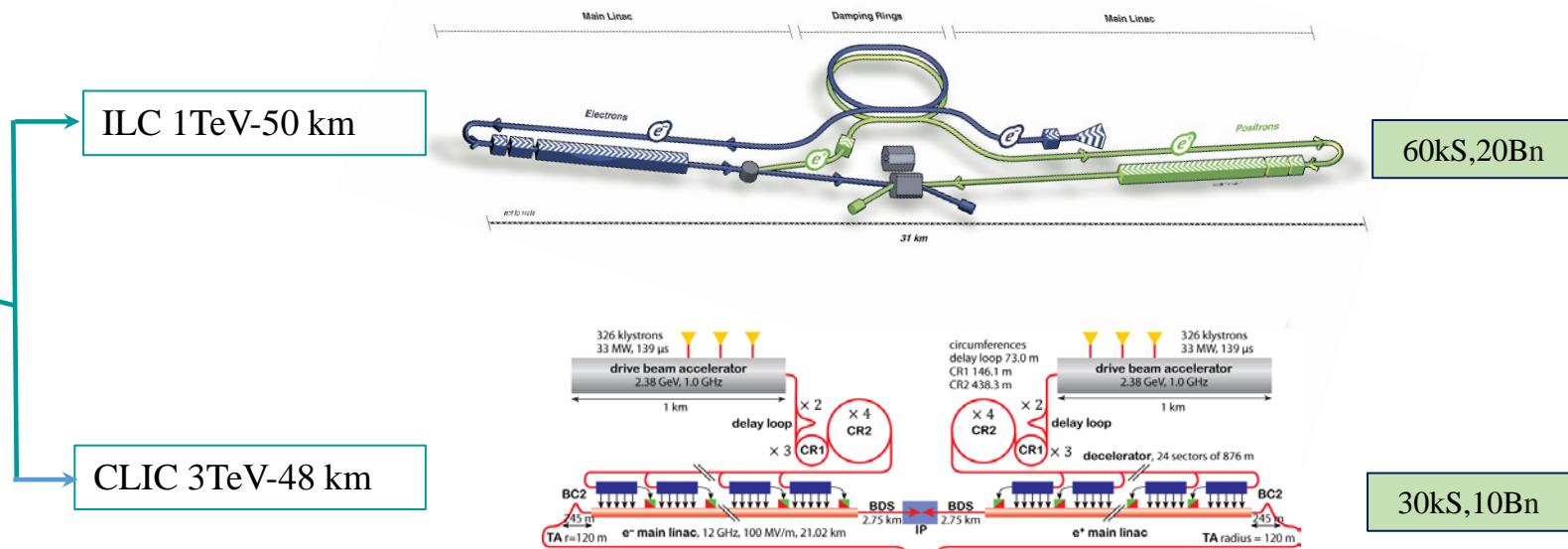
## 1.2 RF Accelerators



# 1. Introduction

## 1.3 Necessity for a Novel Technology

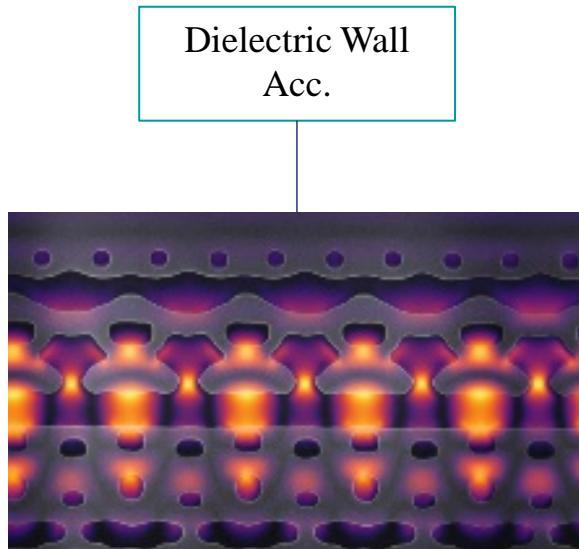
$E_k$	$\frac{dE_k}{dz}$	$\left(\frac{dE_{rad}}{dz}\right)_{el}$	$\left(\frac{dE_{rad}}{dz}\right)_{ec}$
1 [TeV]	100 [MeV/m]	$3.8 \times 10^{-5} \text{ eV/m}$	1.4 GeV/m



Lepton Collider  
in TeV Frontier

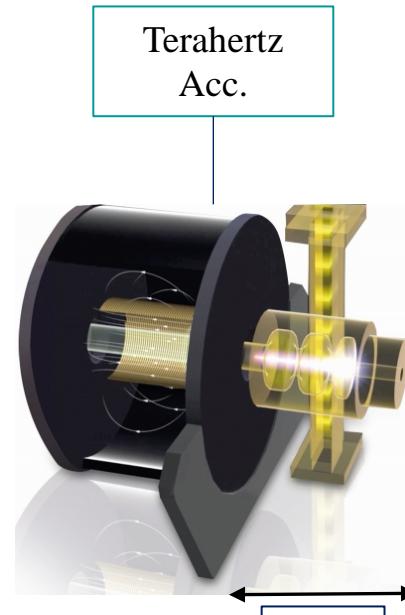
A new high-gradient accelerator  
technology is mandatory to  
ensure TeV scale in reasonable  
cost and space. **GeV/m**

## 1.3 Necessity for a Novel Technology



$\mu m$

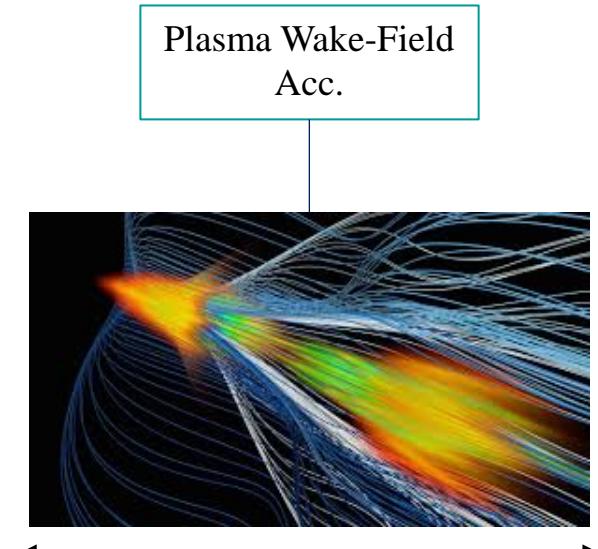
$$E_k > \text{GeV/m}$$



mm

$$E \propto \sqrt{\omega}$$

$$E_k > \text{GeV/m}$$



$\mu m$

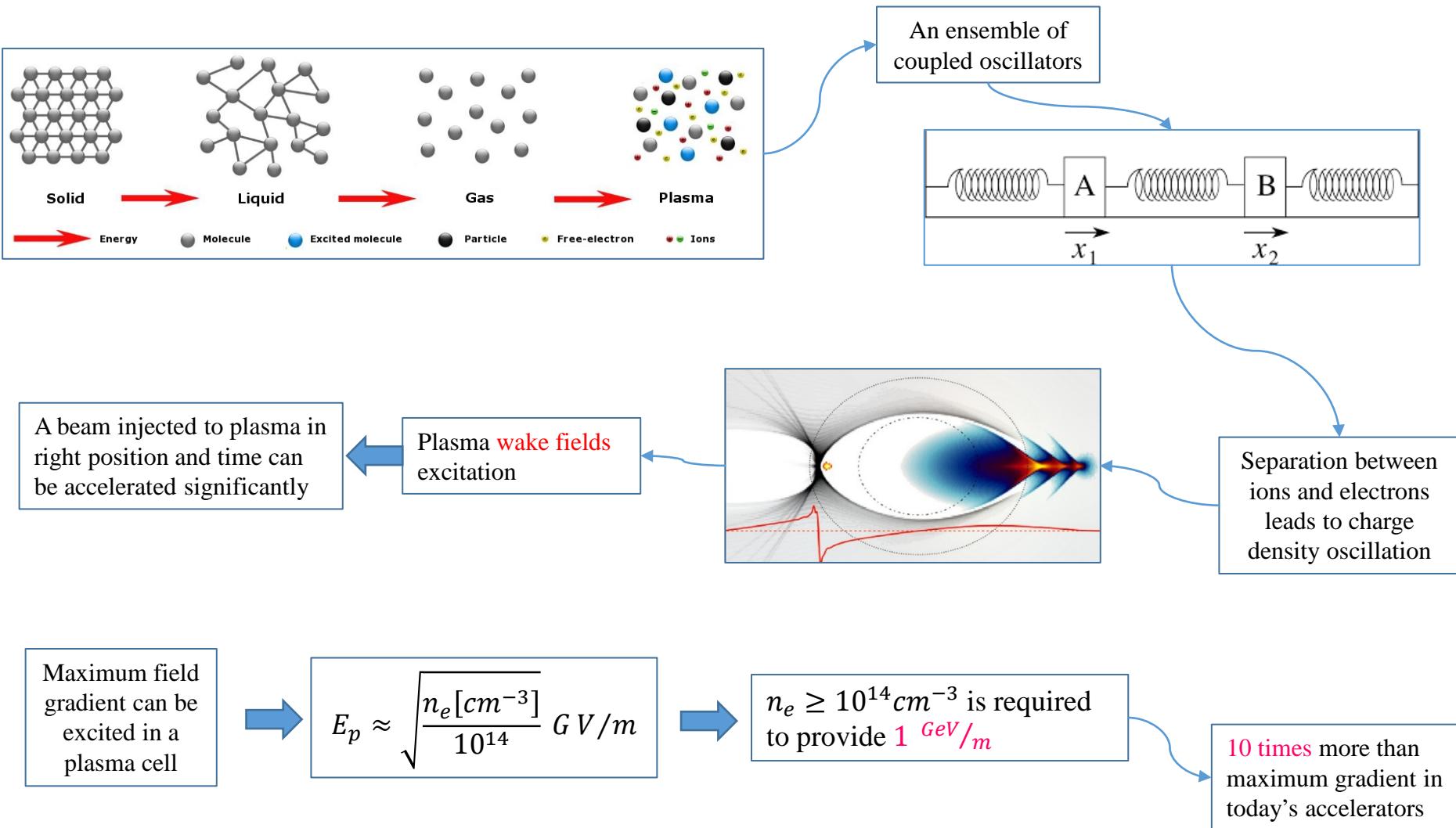
$$\nu_p [\text{kHz}] \approx 9.0 \sqrt{n_0 [1/\text{cm}^3]}$$

$$E_k > \text{GeV/m}$$



## 2. Plasma Wakefield Acceleration

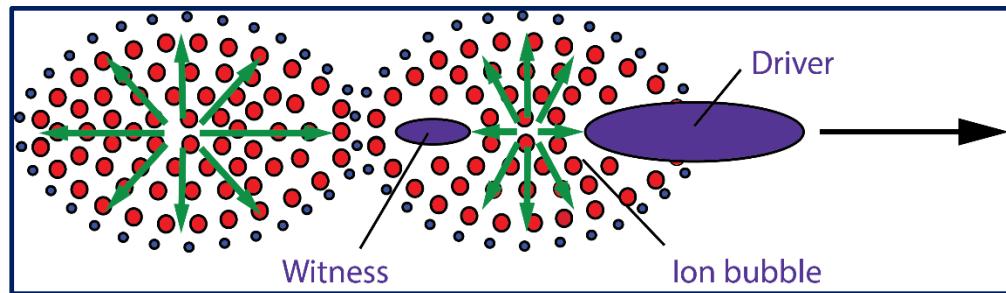
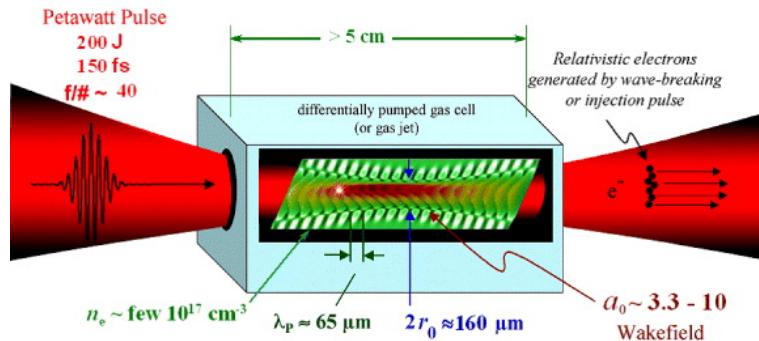
### 2.1 Plasma and Wakes



## 2. Plasma Wakefield Acceleration

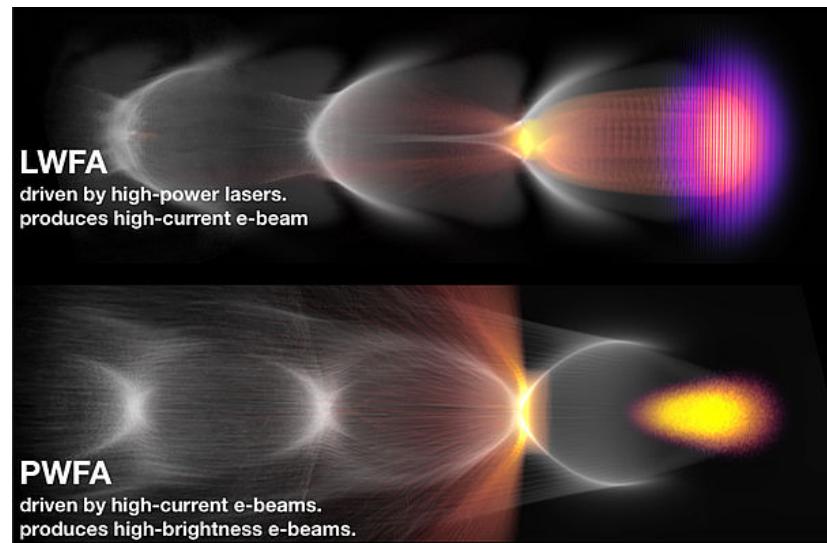
### 2.2 Wake Excitation

Metal vapor plasma sources (like **rubidium**), ionized with **lasers**, routinely (500cc-1at) reach plasma densities of this order and even more

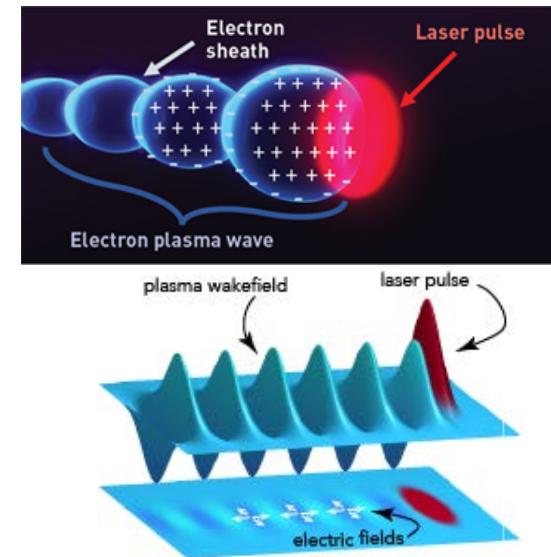


## 2. Plasma Wakefield Acceleration

### 2.2 Wake Excitation



LWFA

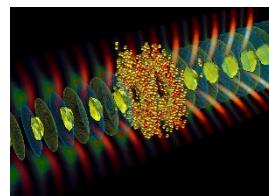


PWFA

Propagation length  
of  $e^-$  drivers about  
1 m .

Propagation length  
of  $p^+$  drivers about  
10 m .

Propagation length about 1cm



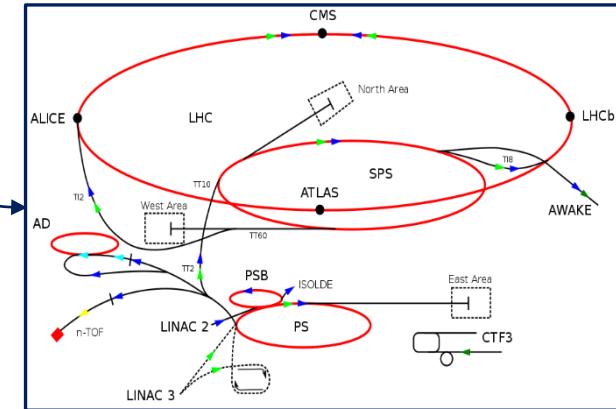
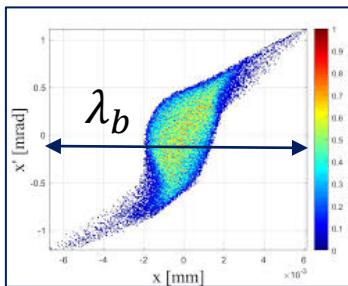
## 2. Plasma Wakefield Acceleration

### 2.2 Wake Excitation

Proton Beams of 100A and Hundreds GeV are Required



CERN SPS : 400 GeV protons with  $3 \times 10^{11}$  particle , 19 kJ energy and 120A.



$$\lambda_p \approx \lambda_b$$

In order to derive the plasma wake fields efficiently.



Plasma wavelength

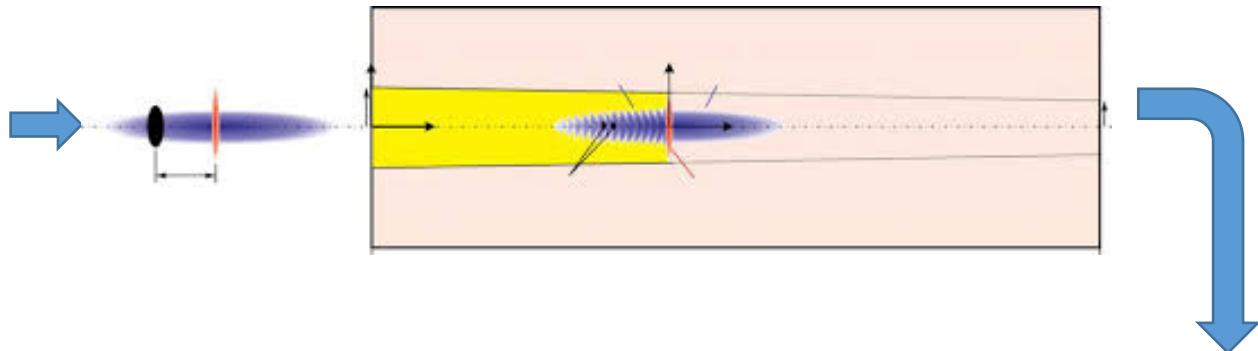
$$\lambda_p \approx \sqrt{\frac{10^{15}}{n_e [cm^{-3}]}} mm$$

$\lambda_p \approx 1 mm$  while SPS proton bunches are about 12cm long.



### 2.3 Self Modulation Instability

Discovery of self-modulation instability (SMI) (2010).



SMI splits the long proton beam into several ultrashort bunches of length  $\lambda_p$ , which can resonantly drive the plasma wake efficiently.

The excited wakes can modulate periodically bunch radius with the period of  $\lambda_p$ .

A long proton bunch in a plasma can generate a low amplitude transverse wake fields within its body.

This ability launches the AWAKE (2013).

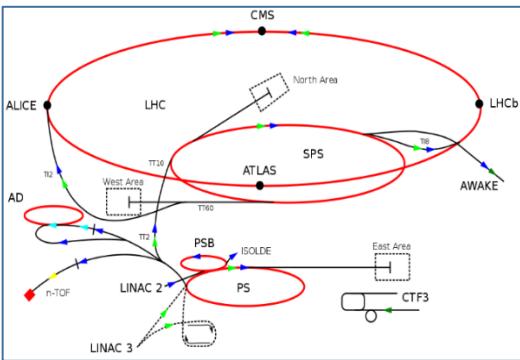
Demonstration of the proton-driven plasma wake field acceleration power

Develop necessary technologies for the long-term application.

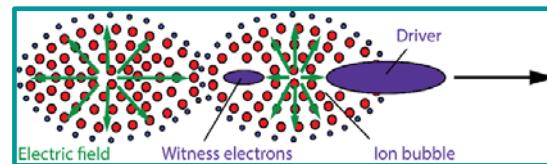
Advanced Proton Driven Plasma Wakefield Acceleration Experiment (AWAKE)

### 3. AWAKE

#### 3.1 AWAKE Experiment



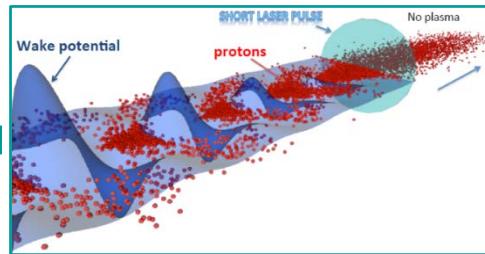
CERN SPS : 400 GeV protons with  $3 \times 10^{11}$  particles, 19 kJ energy and 12 cm bunch length.



13 Inst.

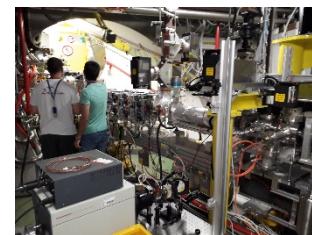


2018  
ACHV



2013  
CDR

AWAKE: Advanced proton driven plasma wake-field acceleration experiment



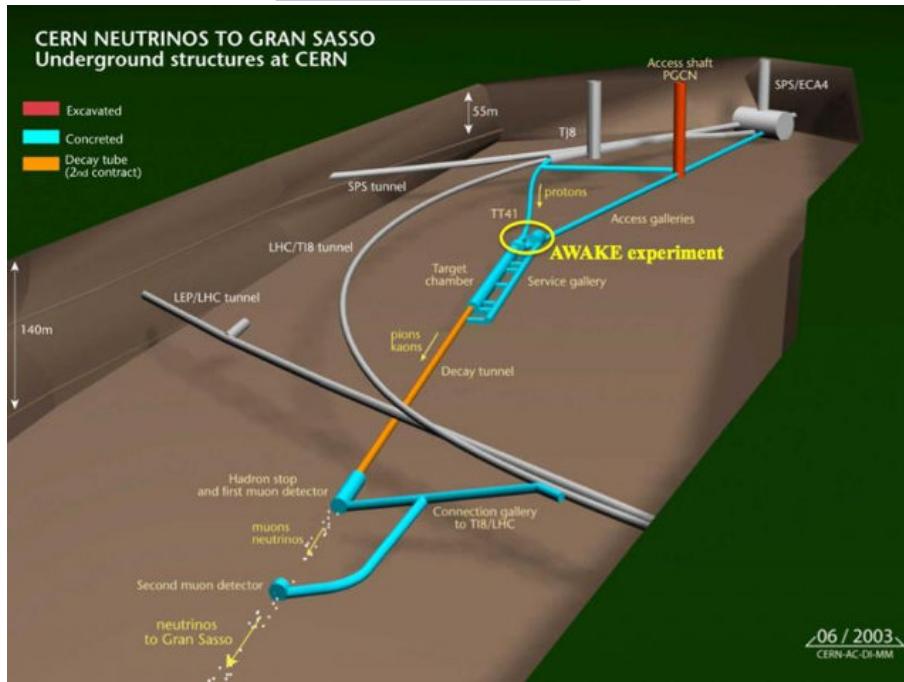
200 MeV/m



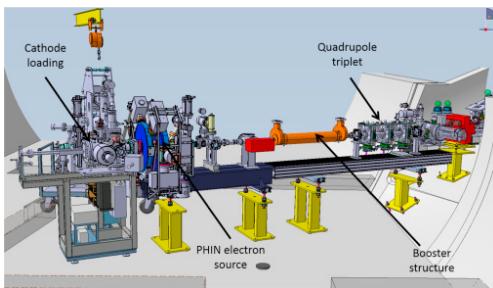
Edda Gschwendtner

## 3.1 AWAKE Experiment

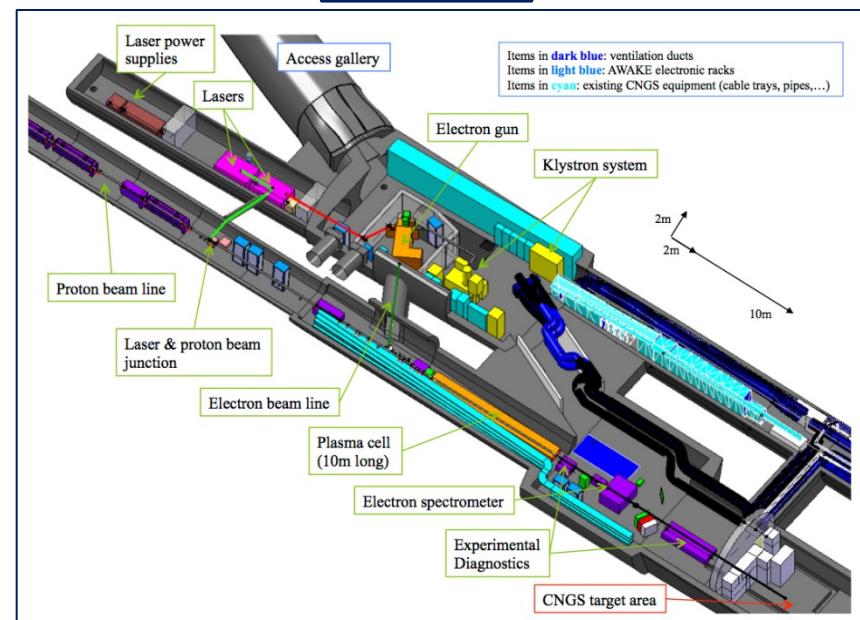
Awake underground



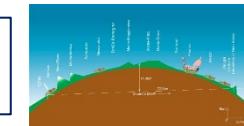
Awake e source



Awake Area

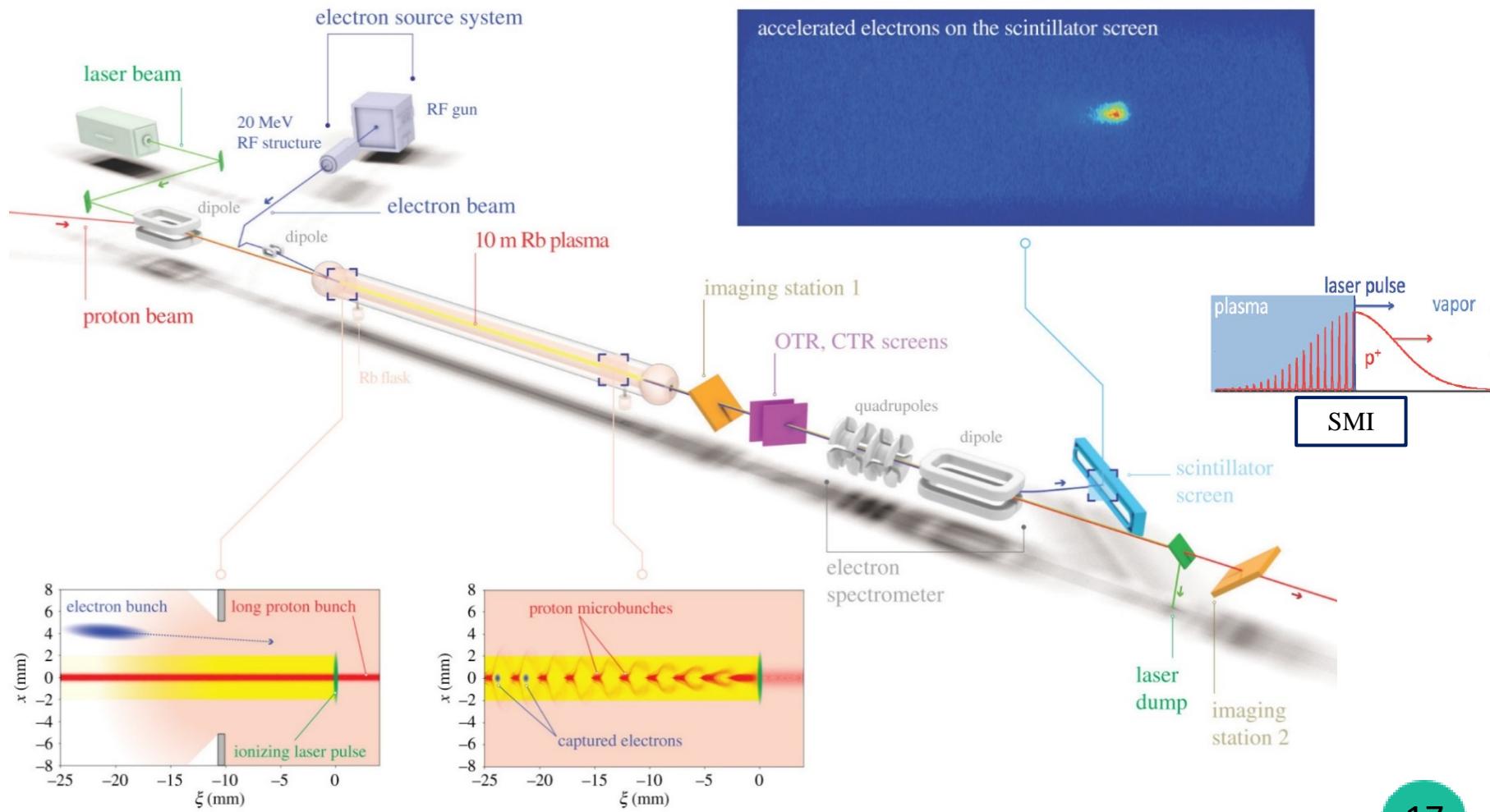


CNGS: CERN Neutrinos to Gran Sasso



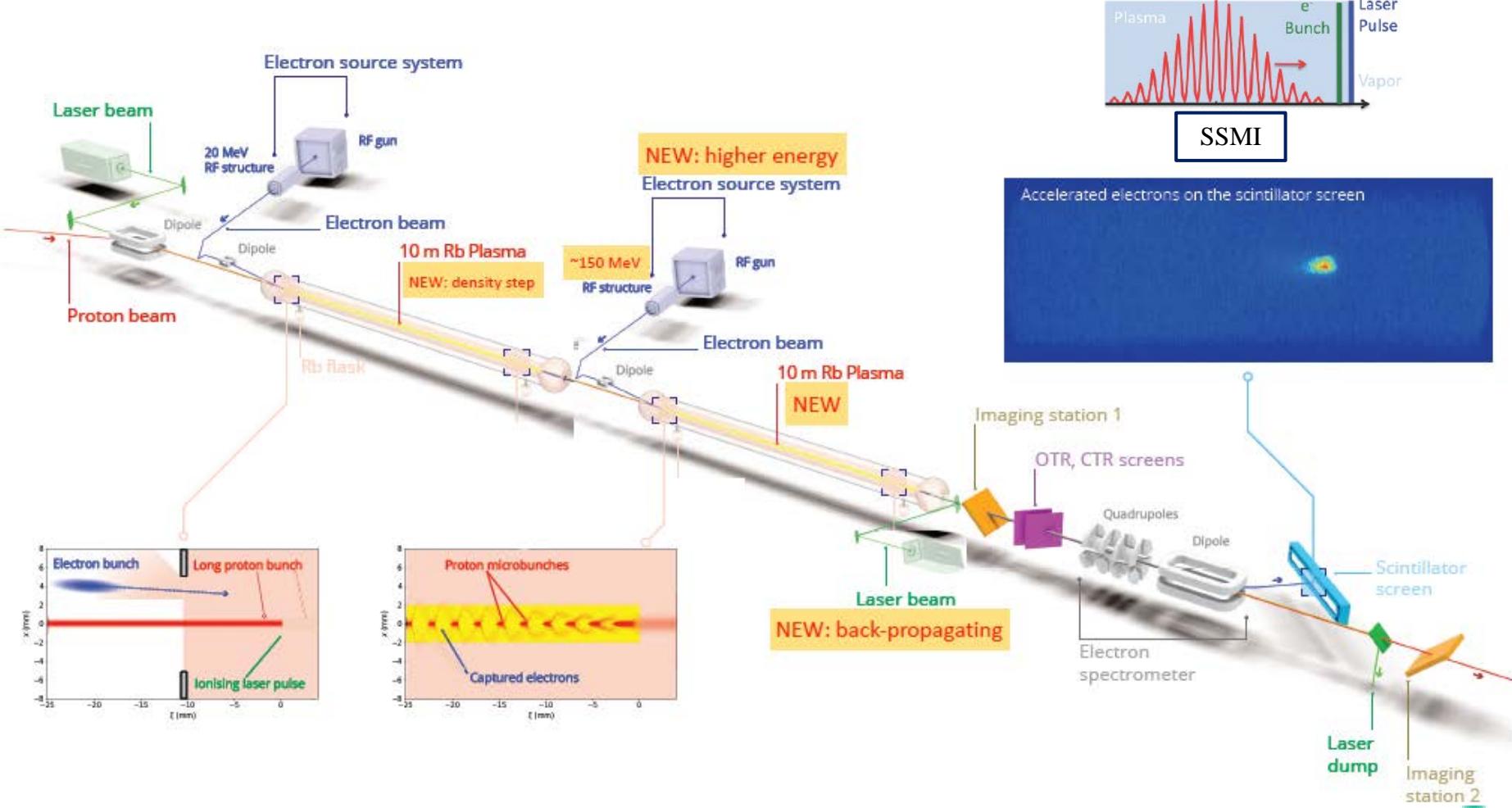
## 3.2 AWAKE Run I

RUN 1: 2016-2018: Proof-of-concept experiment. Successful electron acceleration in wakefield driven by a self-modulated proton beam.

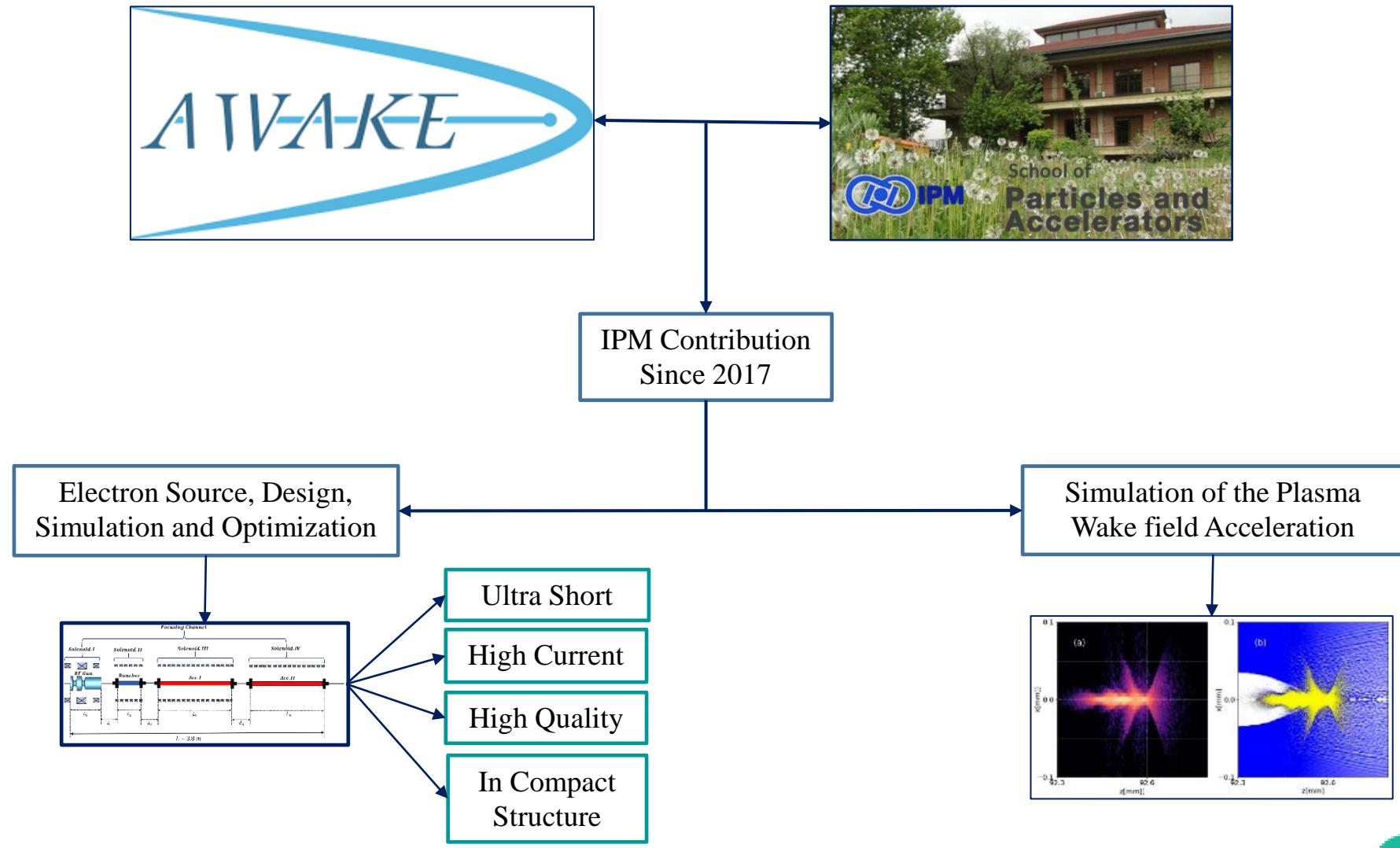


### 3.3 AWAKE Run II

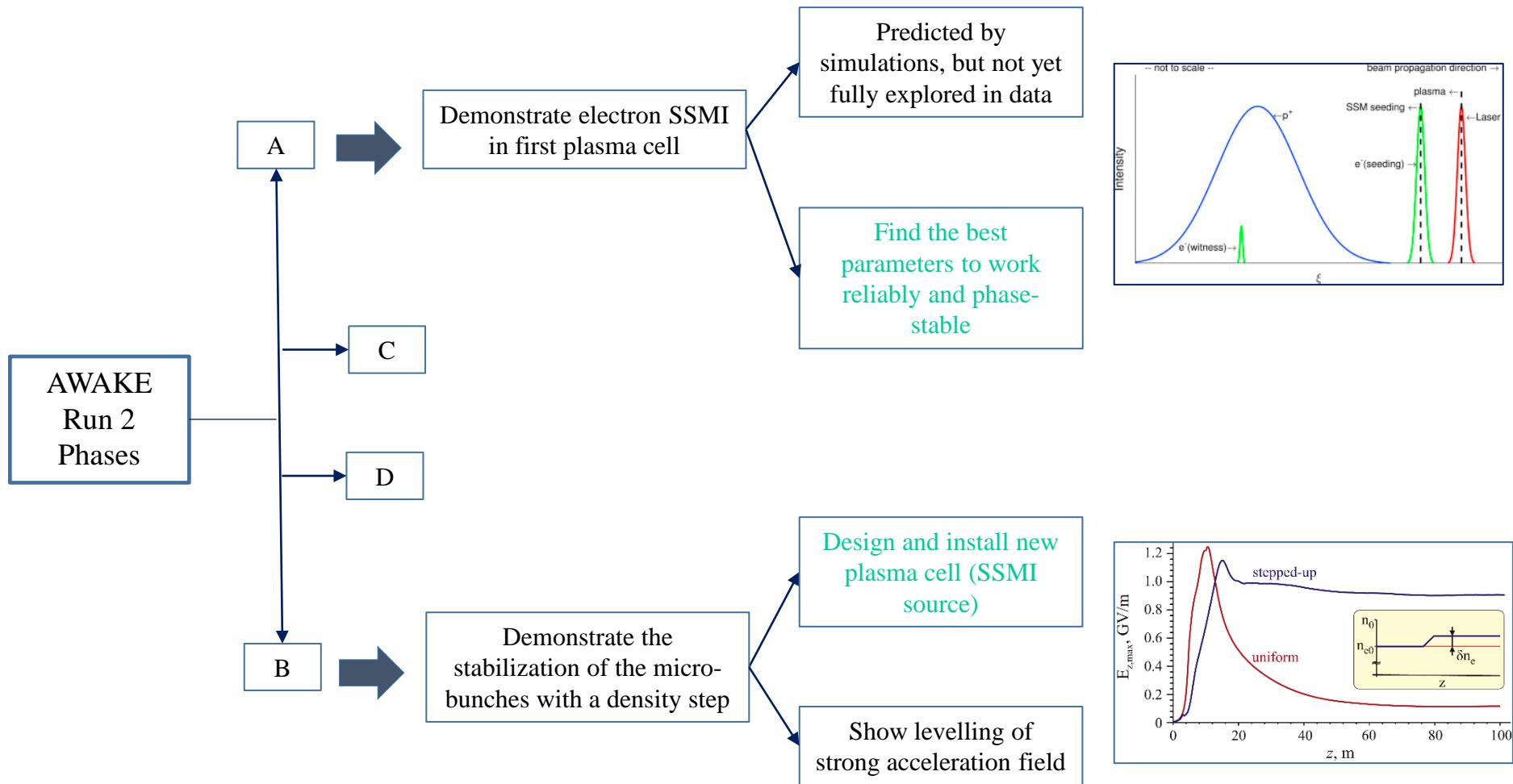
RUN 2: 2021 : Accelerate electrons to high energies (~10GeV) while preserving the beam quality and demonstrate the scalability in order to have first high energy physics applications.



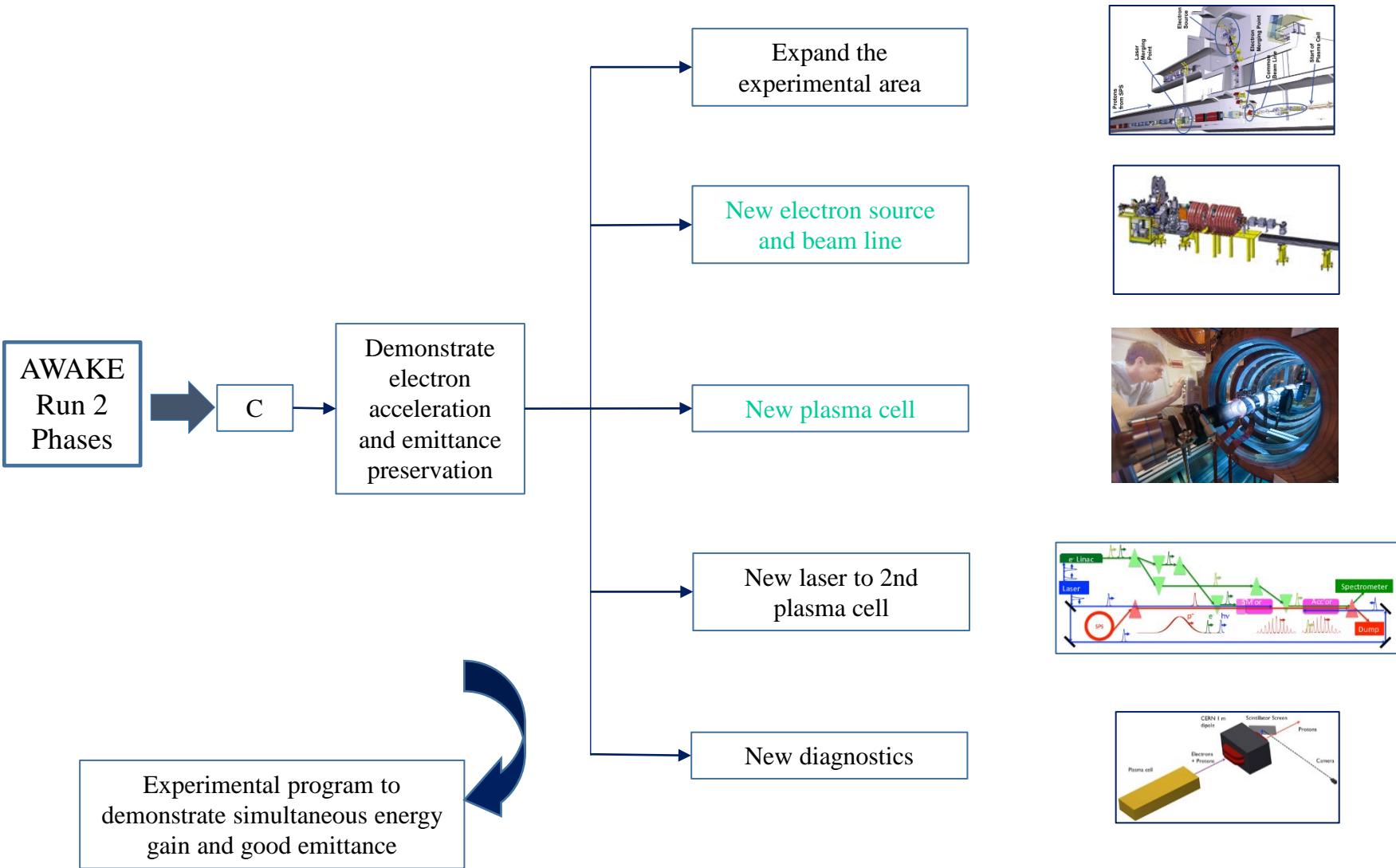
## 3.4 IPM Collaboration



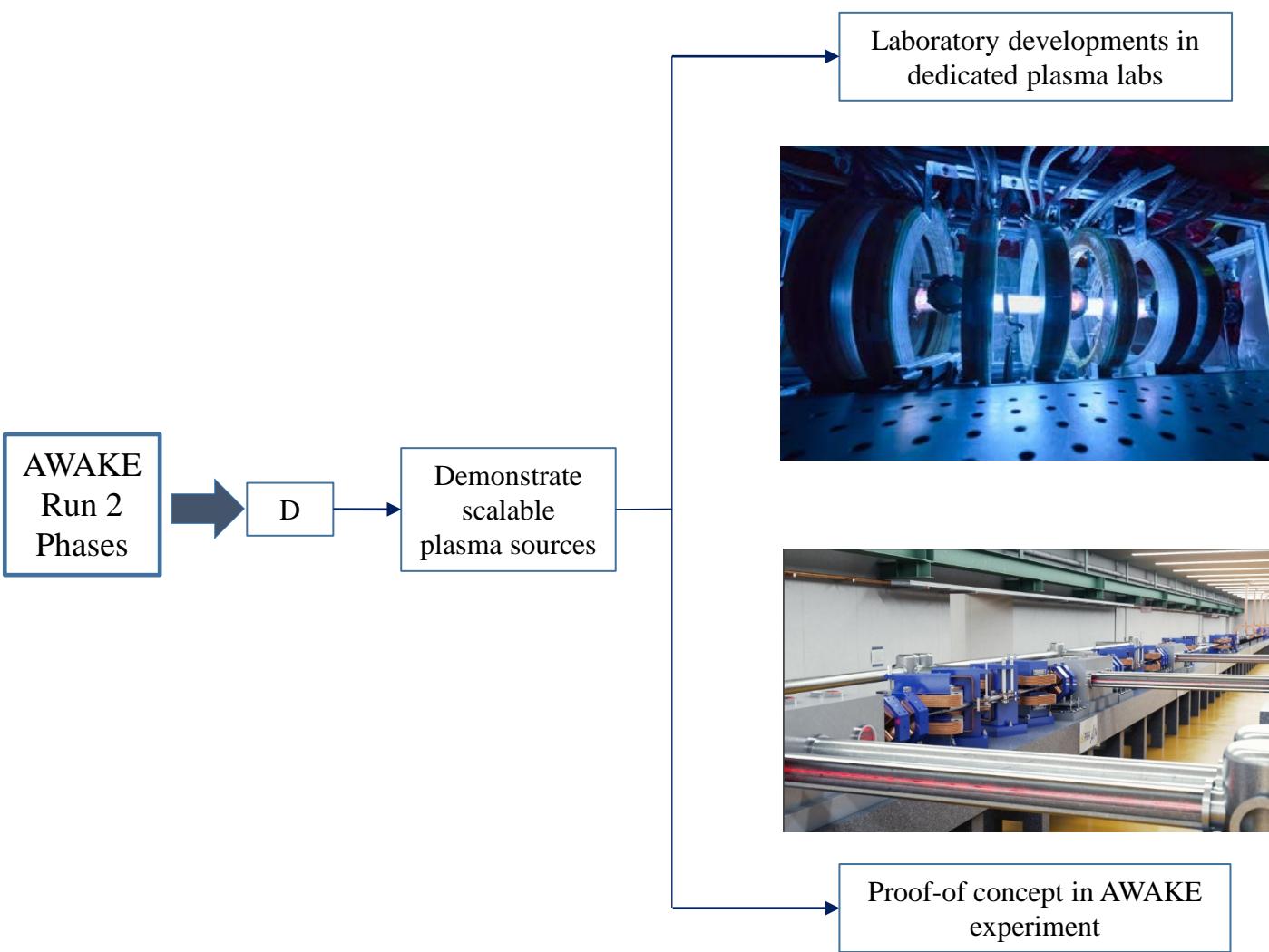
## 3.4 AWAKE Phases



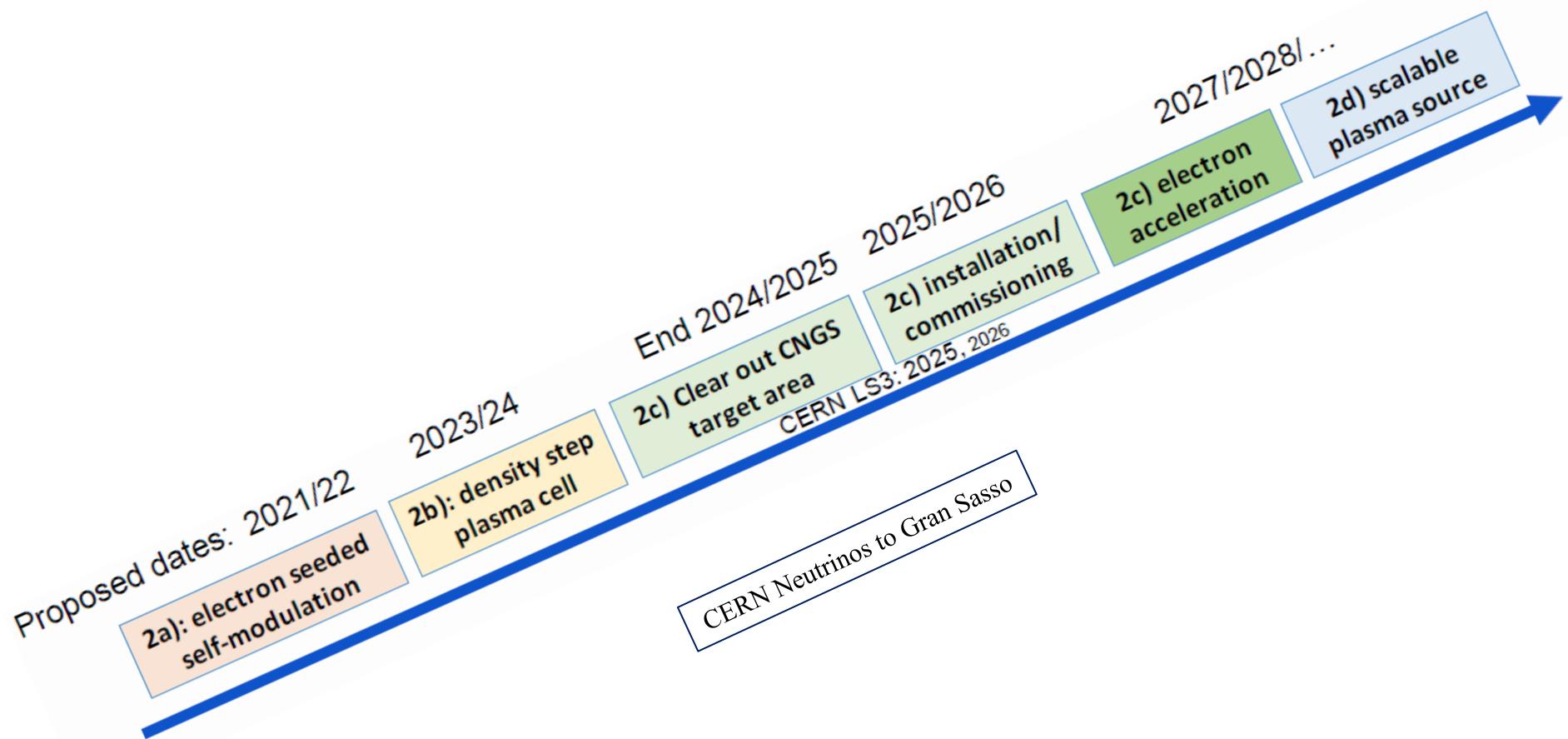
## 3.4 AWAKE Phases



#### 3.4 AWAKE Phases

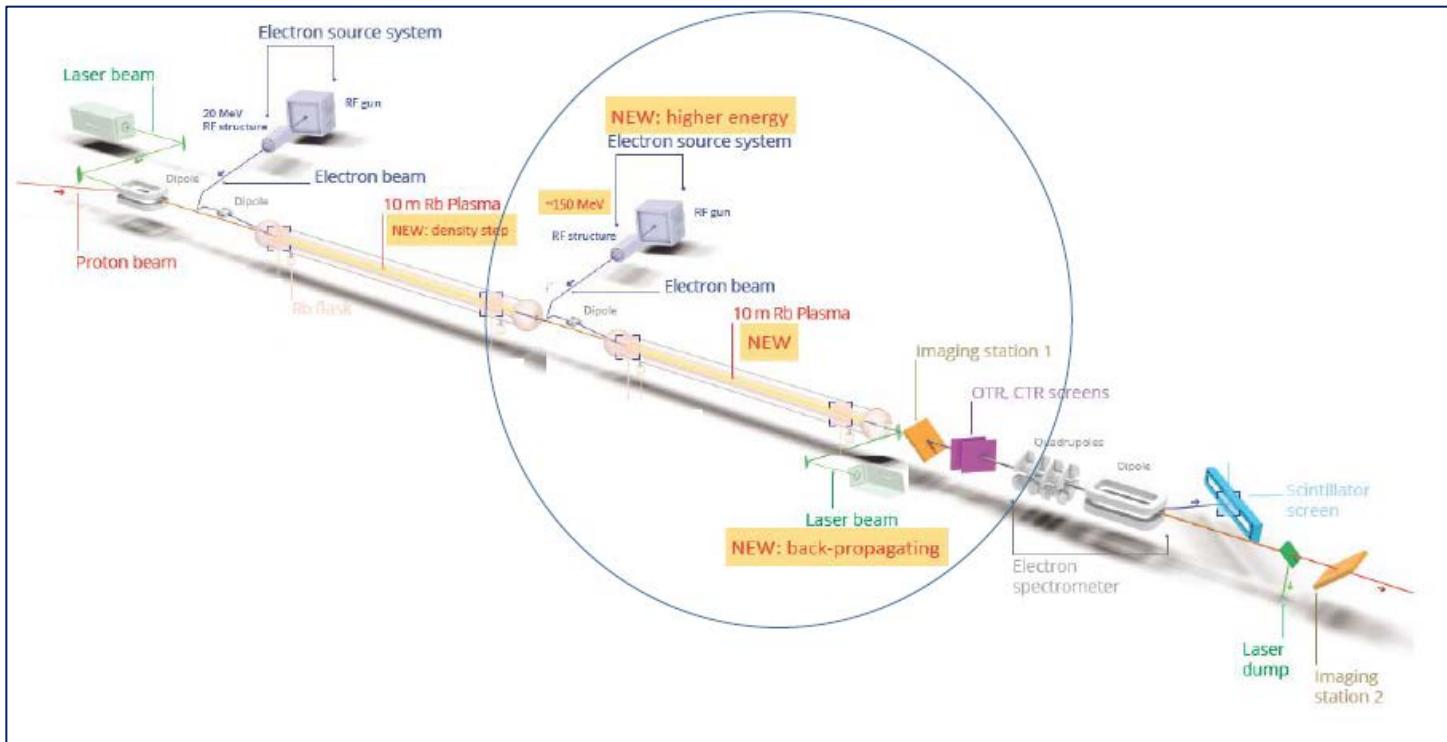


## 3.4 AWAKE Phases



## 3.5 AWAKE Phases C

Run 2c: Demonstrate Electron Acceleration and Emittance Preservation



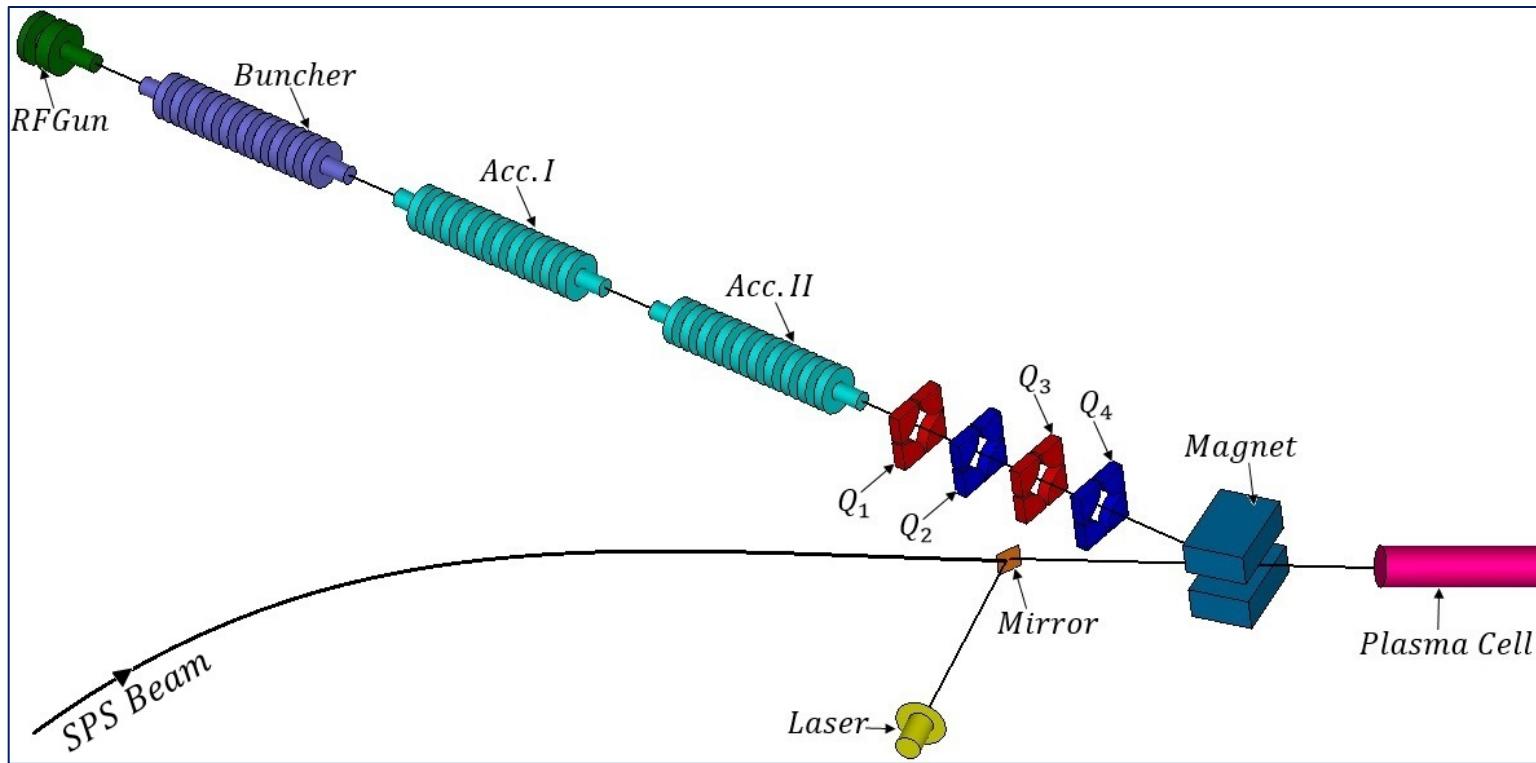
Detailed studies of the electron acceleration  
need to be done now to be ready for Run 2

2024-2025



## 4.1 E-Source Layout

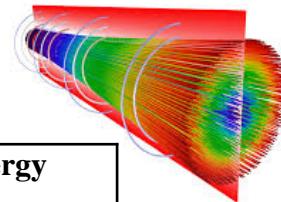
Injection of a **compact** and **high-quality** electron bunch at a **right phase** allows for a propagation over long distances with no emittance growth (apart from the head of the bunch )



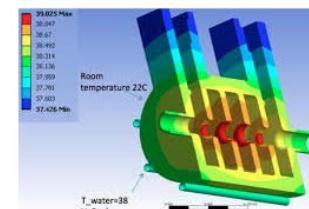
## 4.2 E-Source Requirements

Beam  
Characteristics

Type	Bunch Charge	Bunch Length	Energy Spread	Emittance	Beam Size	Energy
1st	100 – 600 pC	2 – 3ps	< 1%	< 5 $\mu m$	< 190 $\mu m$	15 – 20 MeV
2nd	100 pC	50 – 300 fs	< 1%	< 2 $\mu m$	< 5.75 $\mu m$	80 – 160 MeV



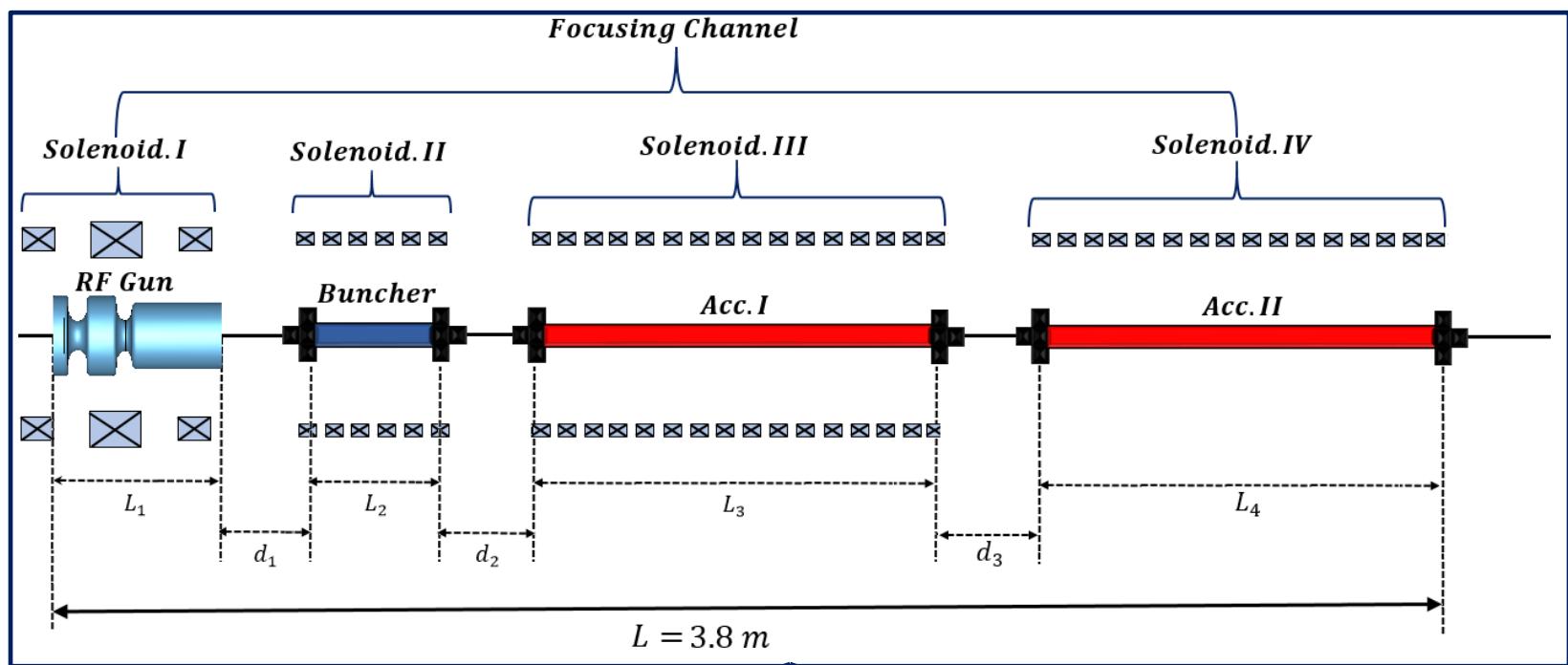
RF Characteristics	Parameter	RF Gun	Buncher	Acc. I	Acc. II
	Frequency	3.0	3 – 12.0	3 – 12.0	3 – 12.0
	Gradient	120 MV/m	20 – 50 MV/m	20 – 80 MV/m	20 – 80 MV/m
	N. Cell	1.5	30	120	120



Laser Characteristics	$\lambda [nm]$	w [ev]	r [mm]	t [ps]	q [pc]
	262	4.31	1.0-2.0	1.0	100-600



## 4.3 E-Source Structure



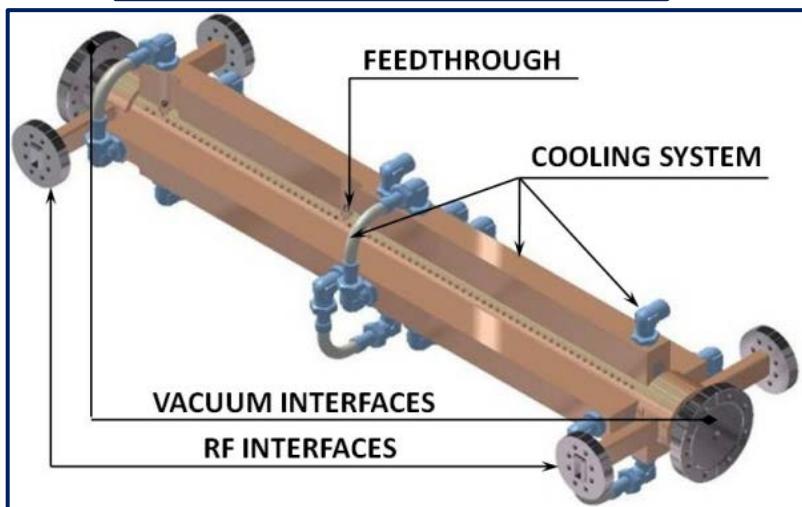
S Band RF Gun Very High Quality EBeam Generation

X Band Buncher for Very Strong Bunching

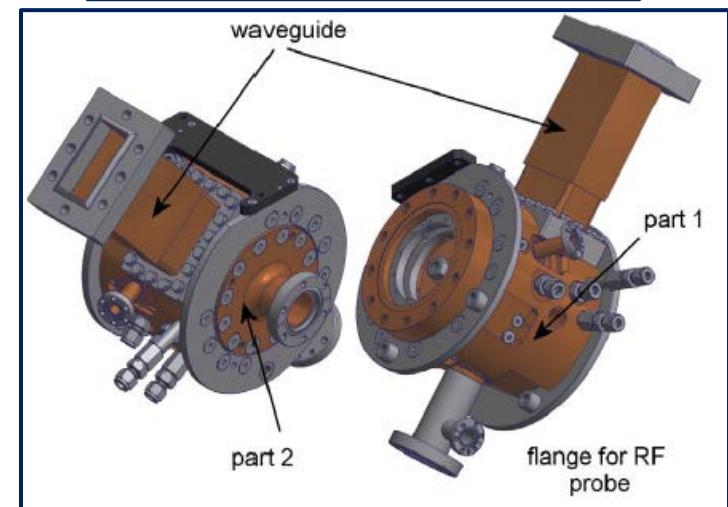
X Band Acc for Very Compact Acceleration

### 4.3 E-Source Structure

120 cell, X-Band, Swiss FEL Str.



1.6 cell, S-Band, LNF-INFN Str.

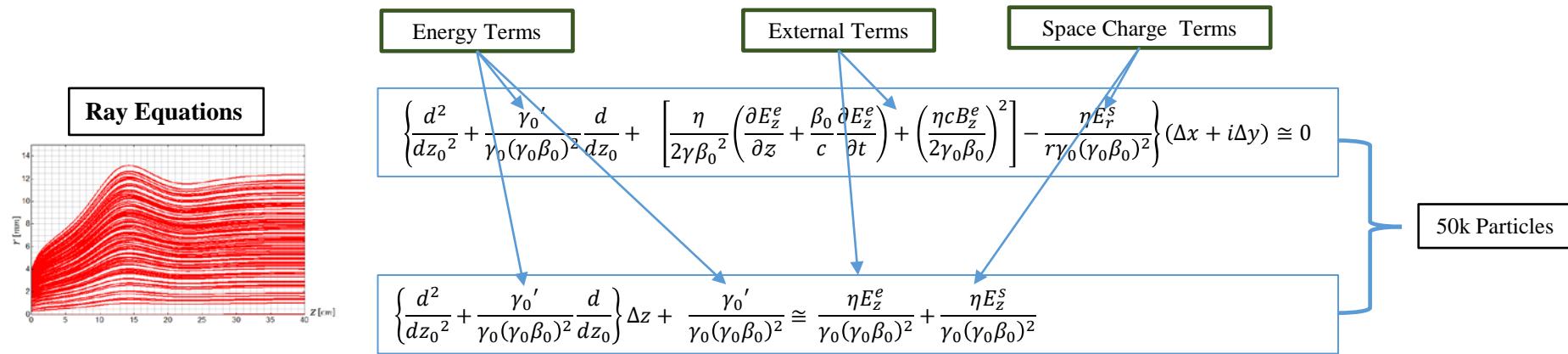
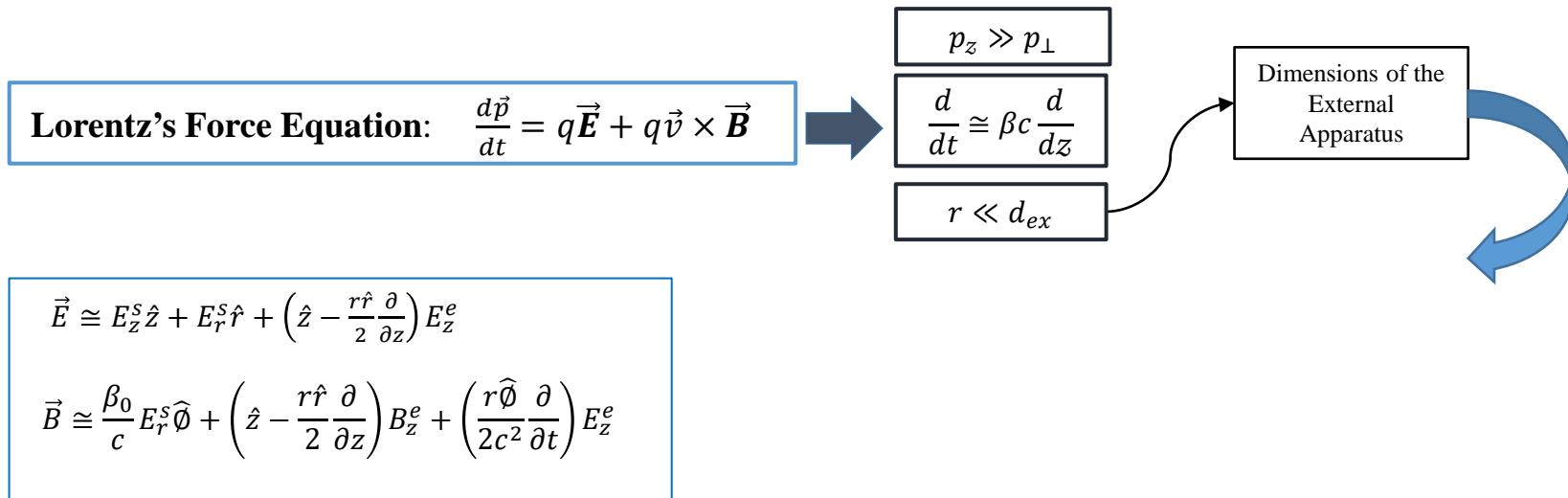


30 cell, X-Band, CLIC Str.



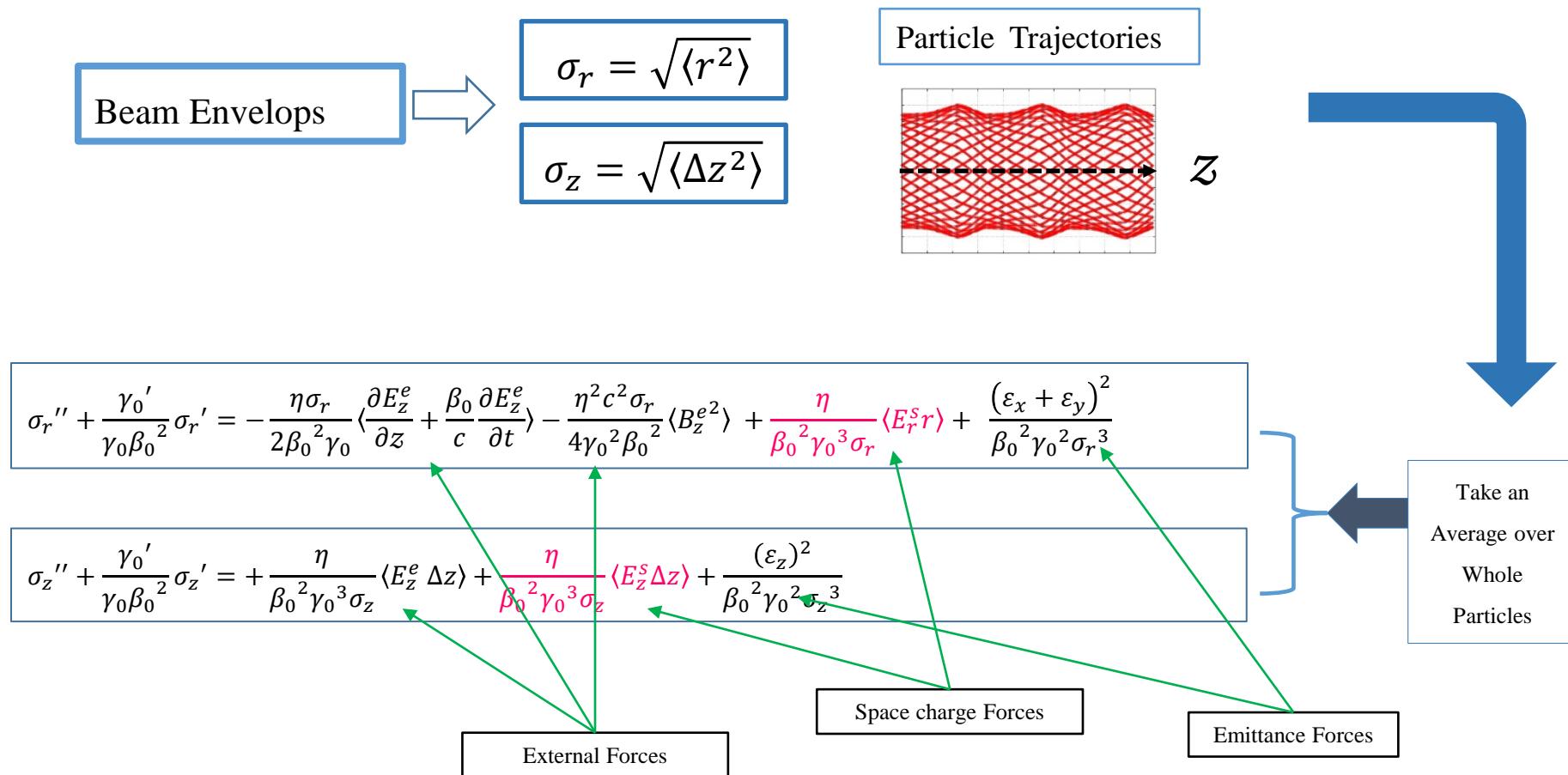
## 5. Beam Dynamics Studies

### 5.1 Ray Equations



## 5. Beam Dynamics Studies

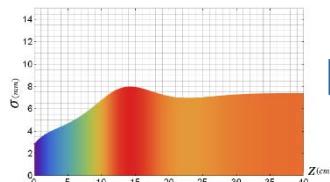
### 5.2 Envelope Equations



## 5. Beam Dynamics Studies

### 5.3 Space Charge Forces

#### Envelope Equations



Full/Semi-Analytical Model for E-Source Design

#### Fast Design Tool Vs Trks



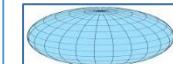
Most problematic issue is Space charge forces

Radial reduction factor

Radial perveance

$$\frac{\eta}{\beta_0^2 \gamma_0^3 \sigma_r} \langle E_r^s r \rangle = \frac{K_r}{\sigma_r} \times \left( 1 - \frac{I_c \eta \beta_0}{2 \langle I(r, z) \rangle} \left\langle \int_0^r \frac{\partial E_z^s(r', z)}{\partial z} r' dr' \right\rangle \right) = \alpha_r \times \frac{K_r}{\sigma_r}$$

$$K_r = \frac{\eta}{2\pi\epsilon_0\beta_0^2\gamma_0^3} \left\langle \int_0^r \rho(r', z) 2\pi r' dr' \right\rangle$$

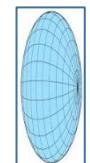


$$\frac{\eta}{\beta_0^2 \gamma_0^3 \sigma_z} \langle E_z^s \Delta z \rangle = \alpha_z \times \frac{K_z}{\sigma_z}$$

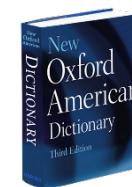
longitudinal perveance

Longitudinal enhancement factor

$$K_z = \frac{\eta}{\epsilon_0 \beta_0^2 \gamma_0^3} \left\langle z \int_{-\infty}^z \rho(r, z') dz' \right\rangle$$



Space Charge Dictionary



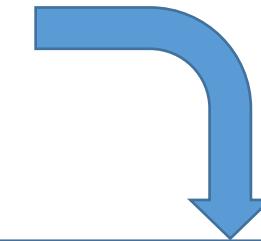
## 5. Beam Dynamics Studies

### 5.3 Space Charge Forces

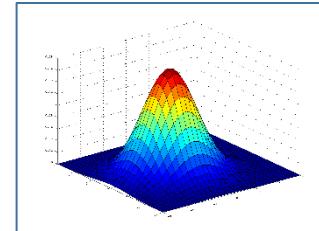
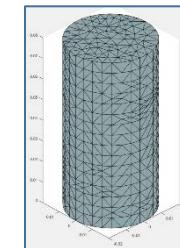
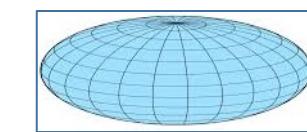
From Physics point of view We expect that

These coefficients should be largely independent of the details of bunch structure

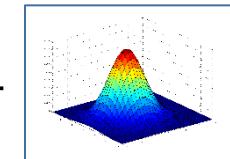
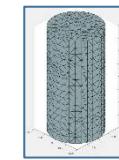
They should greatly depend on the general bunch characteristics and be determined functionally from the bunch dimensions  $\sigma_r$  and  $\sigma_z$ .



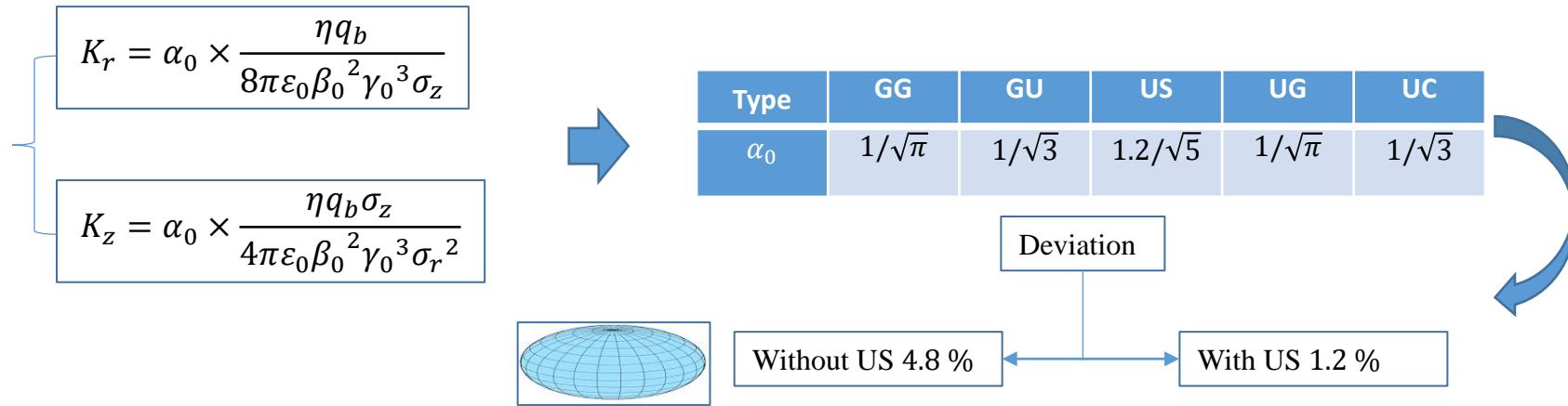
Type	$\rho(r, z, \sigma_r, \sigma_z)$
GG	$\frac{q_b e^{-\left(\frac{z}{\sqrt{2}\sigma_z}\right)^2} e^{-\left(\frac{r}{\sigma_r}\right)^2}}{\pi\sqrt{2}\pi\sigma_r^2\sigma_z}$
GU	$\frac{q_b e^{-\left(\frac{r}{\sigma_r}\right)^2}}{2\sqrt{3}\pi\sigma_r^2\sigma_z} \times \begin{cases} 0 & Else \\ 1 &  z  \leq \sqrt{3}\sigma_z \end{cases}$
US	$\frac{3q_b}{10\sqrt{5}\pi\sigma_r^2\sigma_z} \times \begin{cases} 0 & Else \\ 1 & \left(\frac{\sqrt{2}r}{\sqrt{5}\sigma_r}\right)^2 + \left(\frac{z}{\sqrt{5}\sigma_z}\right)^2 \leq 1 \end{cases}$
UG	$\frac{q_b e^{-\left(\frac{z}{\sqrt{2}\sigma_z}\right)^2}}{2\pi\sqrt{2}\pi\sigma_r^2\sigma_z} \times \begin{cases} 0 & Else \\ 1 & r \leq \sqrt{2}a \end{cases}$
UC	$\frac{q_b}{4\sqrt{3}\pi\sigma_r^2\sigma_z} \times \begin{cases} 0 & Else \\ 1 &  z  \leq \sqrt{3}\sigma_z, r \leq \sqrt{2}\sigma_r \end{cases}$



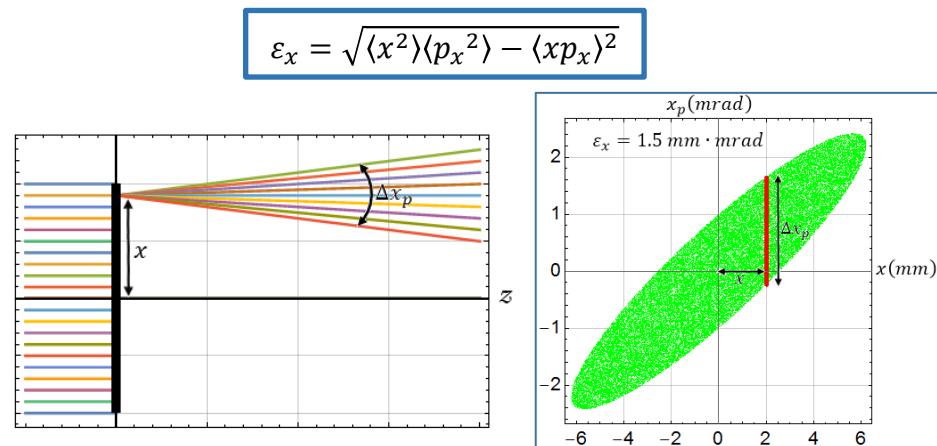
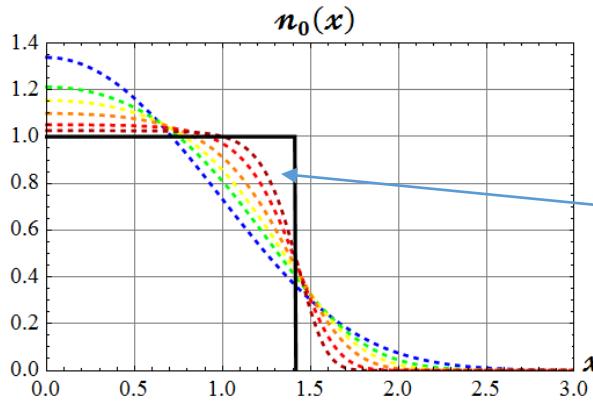
We can consider a few simple cases where their calculations are more straightforward and fully analytic



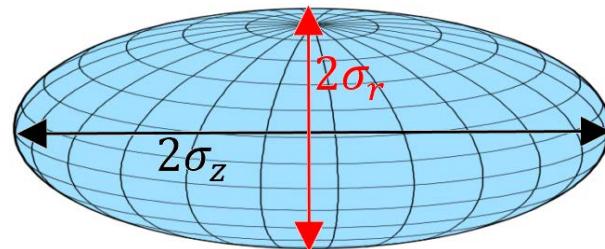
### 5.3 Space Charge Forces



US bunch → zero-temperature Maxwell–Boltzmann distribution. → A bunch with vanishing emittance



## 5.3 Space Charge Forces



CMF

Space-Charge Potential

$$\psi^s(r, z, \sigma_r, \sigma_z) = \frac{1}{4\pi\epsilon_0} \int_{-\infty}^{+\infty} \int_0^{\infty} \int_0^{2\pi} \frac{\rho(r', z', \sigma_r, \sigma_z) r' dr' d\phi' dz'}{\left((z - z')^2 + r^2 + r'^2 - 2rr' \cos(\phi')\right)^{1/2}}$$



LF

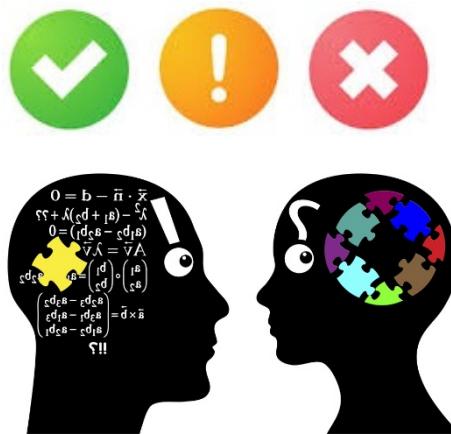
Space-Charge Field

$$\vec{E}^s(r, z) \cong - \lim_{\substack{z \rightarrow \gamma_0 \delta_z \\ \sigma_z \rightarrow \gamma_0 \sigma_z}} \left( \hat{z} \frac{\partial}{\partial z} + \gamma_0 \hat{r} \frac{\partial}{\partial r} \right) \psi(r, z, \sigma_r, \sigma_z)$$

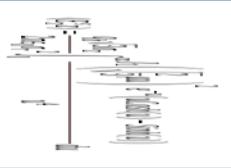
### 5.3 Space Charge Forces

$$\langle E_z^S z \hat{z} + E_z^S r \hat{r} \rangle = - \frac{1}{4\pi\epsilon_0 q_b} \int_{-\infty}^{+\infty} \int_0^{\infty} \int_0^{2\pi} \rho(r, z, \sigma_r, \sigma_z) r dr d\phi dz$$

$$\times \lim_{\substack{z \rightarrow \gamma_0 \delta_z \\ \sigma_z \rightarrow \gamma_0 \sigma_z}} \left( \hat{z} \frac{\partial}{\partial z} + \gamma_0 \hat{r} \frac{\partial}{\partial r} \right) \int_{-\infty}^{+\infty} \int_0^{\infty} \int_0^{2\pi} \frac{\rho(r', z', \sigma_r, \sigma_z) r' dr' d\phi' dz'}{\left( (z - z')^2 + r^2 + r'^2 - 2rr' \cos(\phi') \right)^{1/2}}$$



## 5.3 Space Charge Forces



$$\langle E_z^s z \rangle = \frac{q_b \sqrt{3} \sigma_z}{2\pi\epsilon_0 \sigma_r^2} \int_0^\infty \frac{J_1\left(\sqrt{\frac{2}{3}}\mu u\right)^2 e^{-u}(u \cosh(u) - \sinh(u))}{u^4} du$$

$$\langle E_r^s r \rangle = \frac{q_b}{8\pi\sqrt{3}\epsilon_0 \sigma_z} \left( 1 - \frac{4\sqrt{6}}{\mu} \int_0^\infty \frac{J_1\left(\sqrt{\frac{2}{3}}\mu u\right) J_2\left(\sqrt{\frac{2}{3}}\mu u\right) e^{-u} \sinh(u)}{u^3} du \right)$$

$$\mu = \frac{\sigma_r}{\gamma_0 \sigma_z}$$

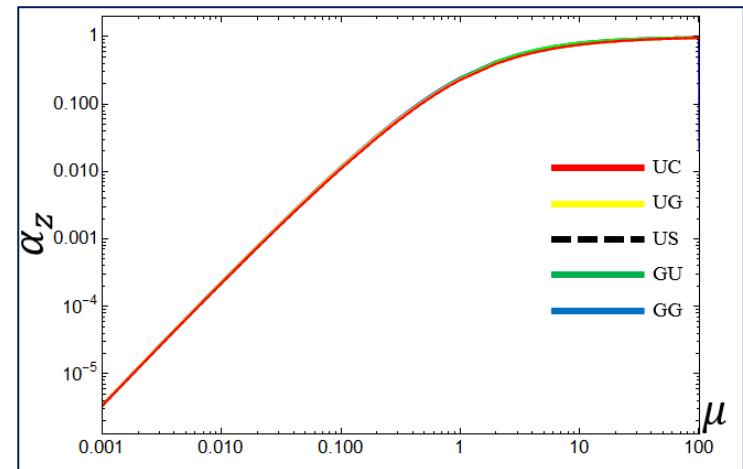
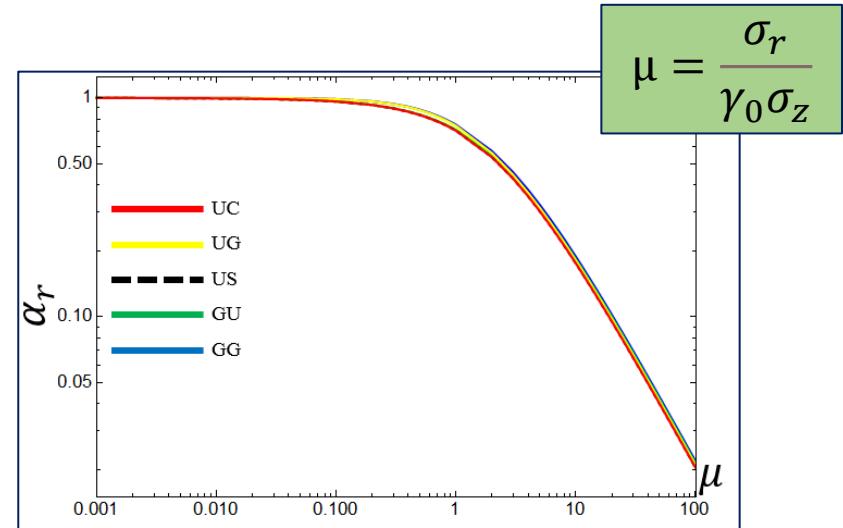


## 5. Beam Dynamics Studies

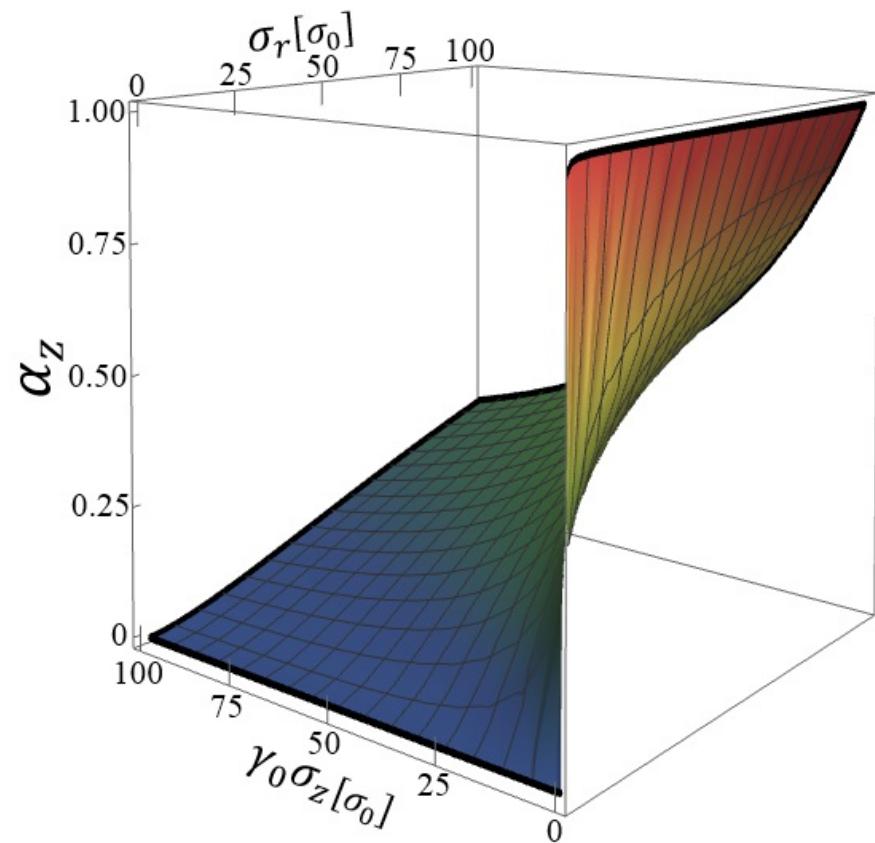
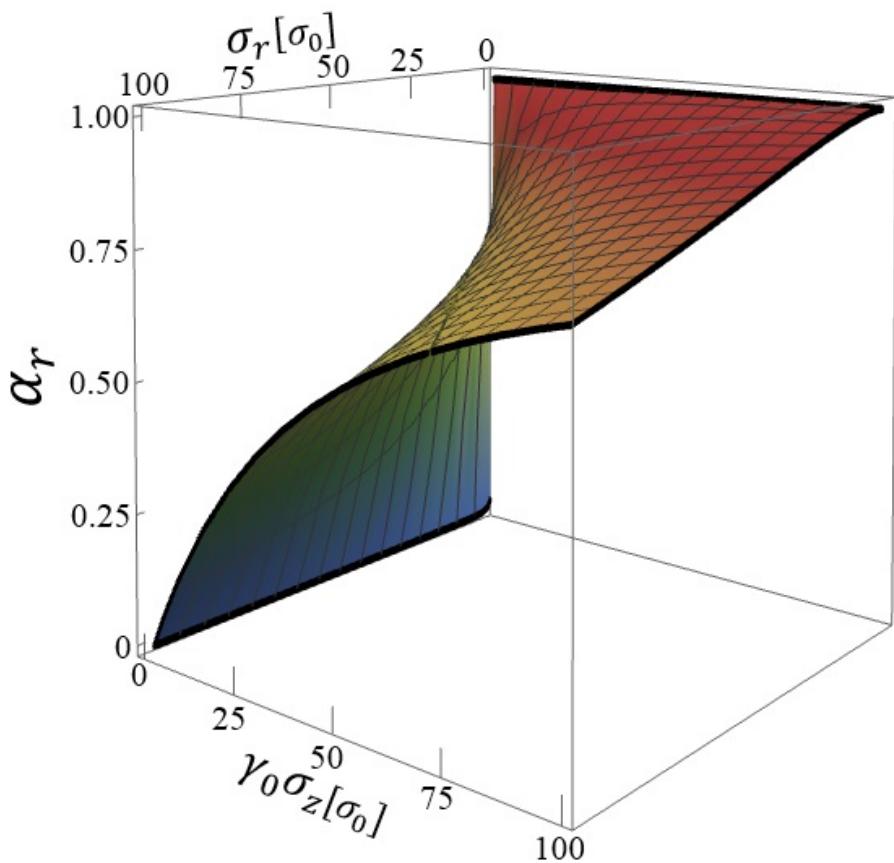
### 5.3 Space Charge Forces

Type	$\alpha_r(\mu)$
GG	$\sqrt{\pi}\mu^2 \int_0^\infty u^2 e^{u^2} e^{-\left(\frac{\mu u}{\sqrt{2}}\right)^2} \operatorname{Erfc}(u) du$
GU	$\frac{\mu^2}{3} \int_0^\infty e^{-\left(\frac{\mu u}{\sqrt{6}}\right)^2} (u - e^{-u} \sinh(u)) du$
US	$2A_r \left(\frac{1}{2}\mu^2 - 1\right)$
UG	$\frac{16}{\sqrt{2\pi}\mu} \int_0^\infty \frac{e^{-\left(\frac{u}{\sqrt{2}}\right)^2} K_1(\mu u) I_2(\mu u)}{u} du$
UC	$1 - \frac{4\sqrt{6}}{\mu} \int_0^\infty \frac{J_1(\sqrt{2/3}\mu u) J_2(\sqrt{2/3}\mu u) e^{-u} \sinh(u)}{u^3} du$

Type	$\alpha_z(\mu)$
GG	$\mu^2 \int_0^\infty u \left(1 - \sqrt{\pi} u e^{u^2} \operatorname{Erfc}(u)\right) e^{-\left(\frac{\mu u}{\sqrt{2}}\right)^2} du$
GU	$\mu^2 \int_0^\infty \frac{e^{-\left(\frac{\mu u}{\sqrt{6}}\right)^2} (e^{-u} [u \cosh(u) - \sinh(u)])}{u^2} du$
US	$A_z \left(\frac{1}{2}\mu^2 - 1\right)$
UG	$1 - \frac{4}{\sqrt{2\pi}} \int_0^\infty e^{-\left(\frac{u}{\sqrt{2}}\right)^2} I_1(\mu u) K_1(\mu u) du$
UC	$6 \int_0^\infty \frac{J_1\left(\sqrt{\frac{2}{3}}\mu u\right) J_1\left(\sqrt{\frac{2}{3}}\mu u\right)}{u^4} e^{-u} (u \cosh(u) - \sinh(u)) du$

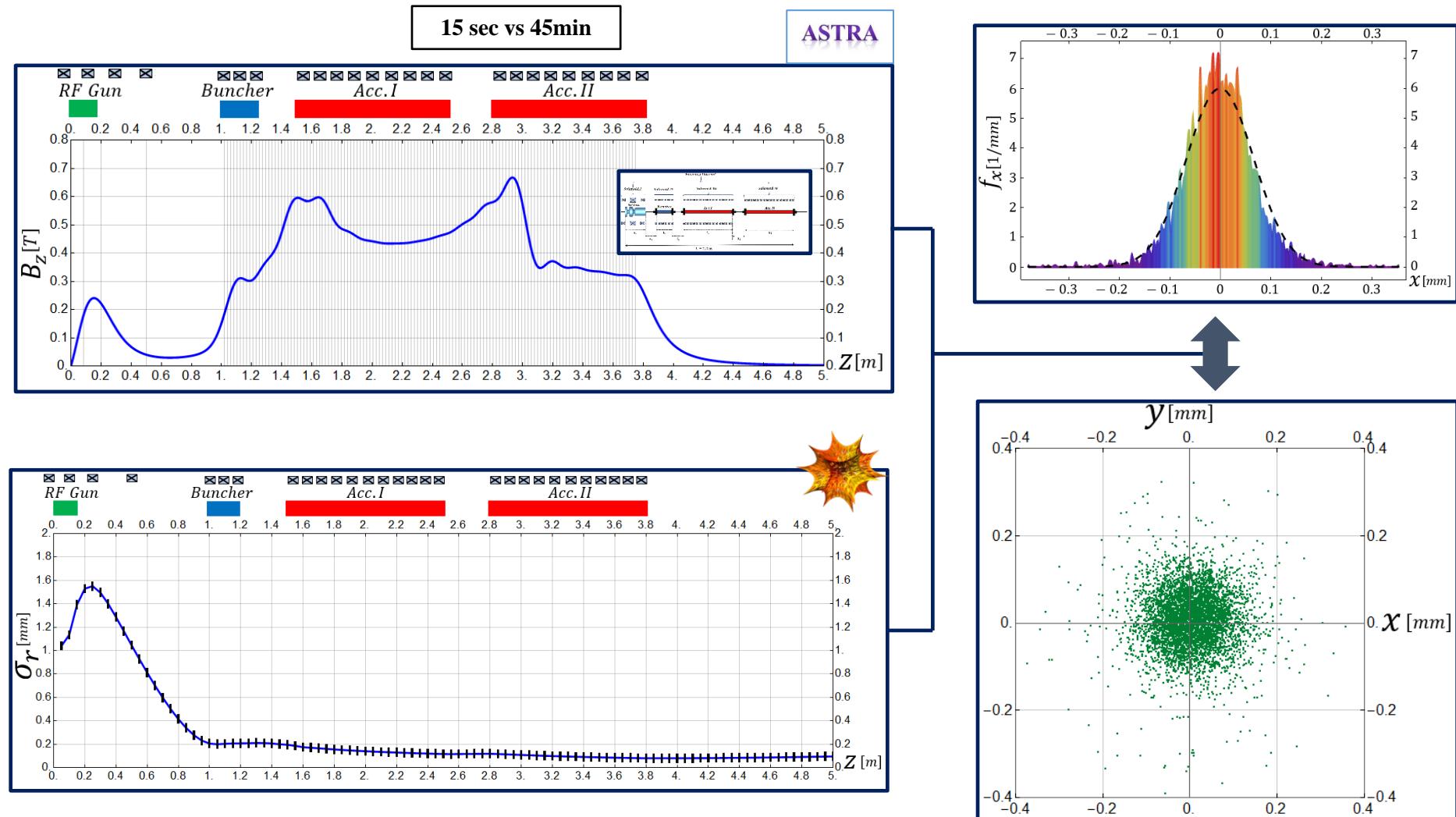


## 5.3 Space Charge Forces



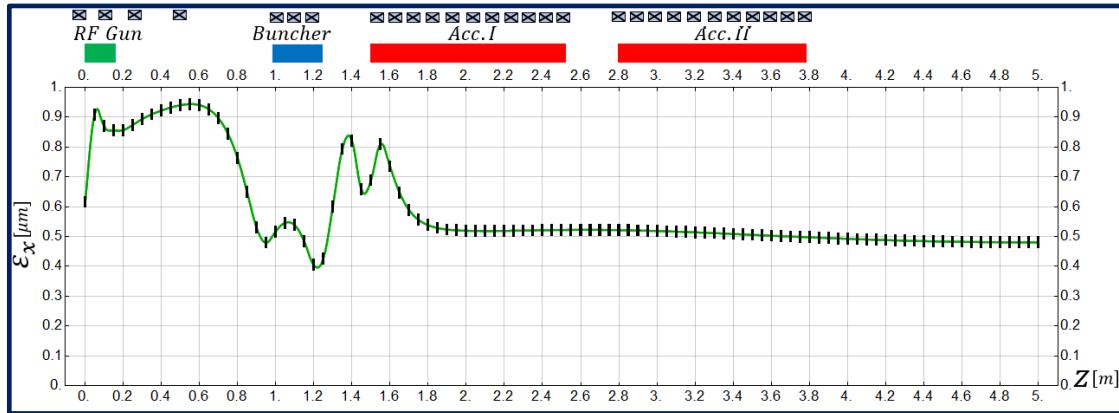
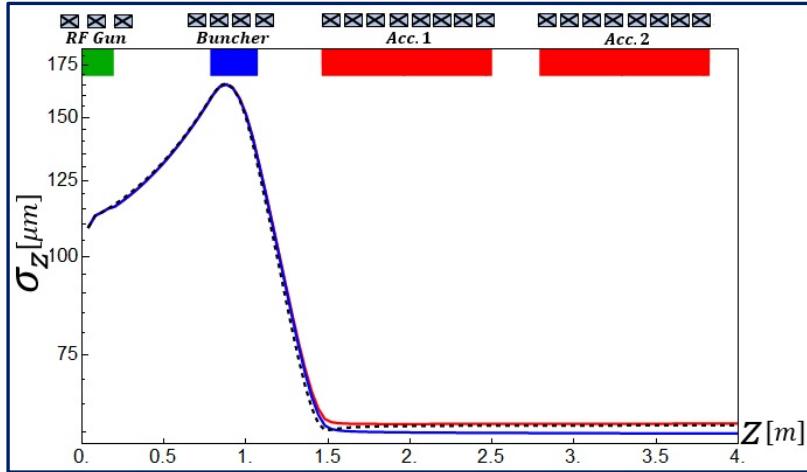
## 6. Results and Simulations

### 6.1 Design



## 6. Results and Simulations

### 6.1 Design



$\epsilon_x [\mu\text{m}]$	$\sigma_E [\%]$	$\sigma_z [\mu\text{m}]$
<u>0.48</u>	<u>0.24</u>	<u>30</u>

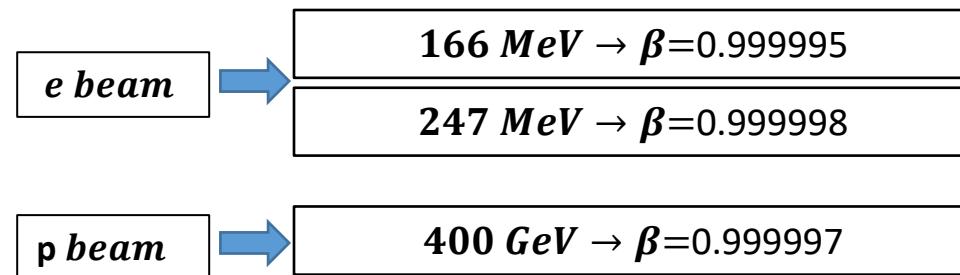
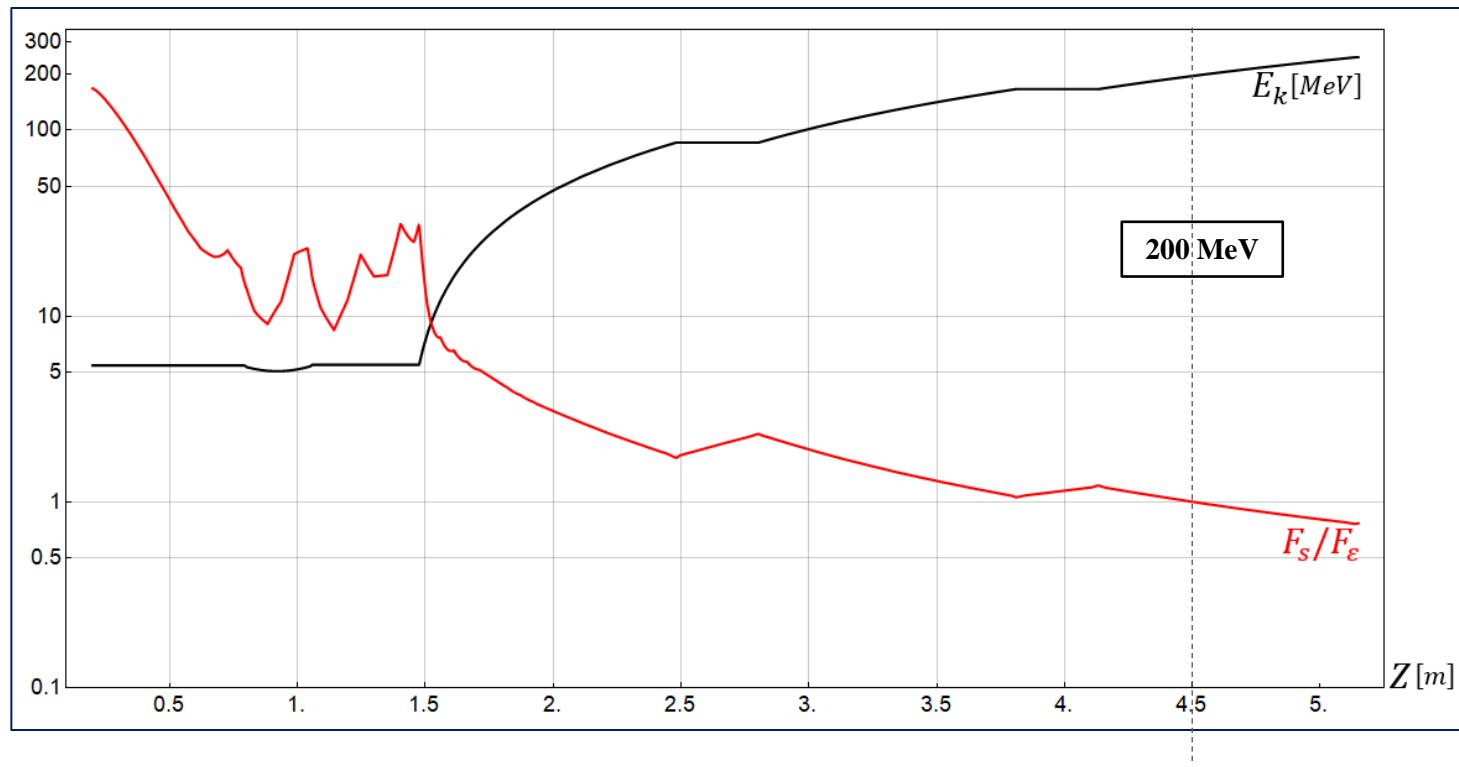
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M.D. Kelisani <sup>a,b,\*</sup>, S. Doeberl <sup>a</sup>, M. Aslaninejad <sup>b</sup>, B. Holzer <sup>a</sup>  
<sup>a</sup> European Organization for Nuclear Research (CERN), BE-EP Department, CH-1211 Geneva 23, Switzerland  
<sup>b</sup> School of Particles and Accelerators, Institute for Research in Fundamental Sciences (IPM), P.O. Box 19395-5531, Tehran, Iran

Evolution of bunch envelope and emittance in photo-injectors with application to the AWAKE experiment

## 6. Results and Simulations

### 6.3 More Considerations



# Thanks for Attention

